

2 **A Novel Method for Interpolating Station Rainfall Data using a**  
3 **Stochastic Lattice Model**

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## ABSTRACT

17 Rain gauge data are routinely recorded and used around the world. How-  
18 ever, their sparsity and inhomogeneity make them inadequate for climate  
19 model calibration and many other climate change studies. Various algorithms  
20 and interpolation techniques have been developed over the years in order to  
21 obtain an adequately distributed dataset. Objective interpolation methods such  
22 as the inverse-distance weighting (IDW) are the most widely used and have  
23 been employed to produce some of the most used gridded rainfall datasets  
24 (e.g. Climate Prediction Center Merged Analysis of Precipitation, Global  
25 Precipitation Climatology Project, and the India Meteorological Department).  
26 Unfortunately, the skill of these techniques becomes very limited to non exist-  
27 tent in areas located far away from existing recording stations. This is prob-  
28 lematic as many areas of the world are lacking an adequate rain gauge cover-  
29 age throughout the recording history. Here, we introduce a new probabilistic  
30 interpolation method in an attempt to address this issue. The new algorithm  
31 employs a multitype particle interacting stochastic lattice model which as-  
32 signs a binned rainfall value, from an arbitrary number of bins, to each lattice  
33 site or grid cell, with a certain probability according to the rainfall amounts  
34 assigned to neighbouring sites and a background climatological rainfall  
35 distribution, drawn from the available data. Grid points containing recording  
36 stations are not affected and are being used as “boundary” input conditions  
37 by the stochastic model. The new stochastic model is successfully tested and  
38 validated against two standard gridded rainfall datasets, over the Indian land  
39 mass.

## 40 **1. Methods**

### 41 *a. Pre-defining a grid array*

42 We defined a grid array over the Indian subcontinent containing M number of triangular mesh  
43 (In our analysis, we consider M=11921 which is approximately equal to 0.25 resolution).

44 Let I be the index of arbitrary grid cell.  $I=1,2,3,4,\dots,M$

45 First, at each time t read the observed station rainfall data and then it is assigned to each trian-  
46 gular cell. If there is more than one station in a triangular cell, then it is averaged out.

47 At each time t define a mask  $M_I$  such that

48  $M_I=1$  if there is station data

49  $M_I=0$  if there is no station data

50 These stations rainfall events over the Indian subcontinent, binned into N rain rates. Here the  
51 binning is as follows.

### 52 *b. Binning*

53 Rainfall below 1 mm/day is categorized into one bin and rainfall above 800 mm/day is catego-  
54 rized into another bin. Rainfall between 1 mm/day and 450 mm/day is binned into different bins  
55 with a bin size of 5 mm/day. Similarly, rainfall between 450 mm/day to 550 mm/day is binned  
56 with a bin size of 10 mm/day and rainfall between 550 mm/day to 800 mm/day is binned using the  
57 bin size of 50 mm/day. So the total number of bin is,  $N=107$ . Then the probability of occurrence  
58 at each bin is computed.

59 Let  $\rho_j$  be the probability of occurrence of rain rates, where  $j = 0, 1, 2, \dots, N - 1$ . We constraint  
60  $\rho_j$  to the observed rainfall over the Indian subcontinent.

61 *c. Lattic Method*

62 One can think of lattice as containing particle. Various numbers of particles are contained at  
 63 different site. At any given time t, each lattice site is either occupied a rainfall at a particular bin  
 64 or there is no rainfall at all. We assume  $\sigma_I^t$  be an order parameter that takes values 0,1,.....N-1 on  
 65 a lattice site ( $I \in \mathcal{L}$ ) according to whether there is a rain events of  $R_j$ ,  $j=0,1,2,.....N-1$  occurring at  
 66 site I and at time t

67 Now compute the Hamiltonian masked energy differences  $\Delta_+^I \tilde{H}(\sigma)$  and  $\Delta_-^I \tilde{H}(\sigma)$  at each lattice  
 68 site including where rainfall data is available according to its nearest neighbors.

69 We have

$$70 \quad \Delta_+^I \tilde{H}(\sigma) = J_0 [\max(|\sigma_I + 1 - \sigma_J|) - \max(|\sigma_I - \sigma_J|)] + h$$

$$71 \quad \Delta_-^I \tilde{H}(\sigma) = J_0 [\max(|\sigma_I - 1 - \sigma_J|) - \max(|\sigma_I - \sigma_J|)] - h$$

72 Where  $\sigma_I$  represent the order parameter at lattice site I and  $\sigma_J$  represents the same at its neigh-  
 73 boring sites. Here  $J_0 > 0$ , which represent the strength of local interactions. Here we chose  $J_0 = 8$   
 74 an ideal choice for the better result. We also tried with different values of  $J_0$ , For examples  $J_0 = 3$   
 75 and  $J_0 = 10$ . Increasing the  $J_0$  values will give less weight to the climatological equilibrium  
 76 distribution. Here h is ignored for the time being and we set it to 0.

77 After computing the masked energy difference  $\Delta_+^I \tilde{H}(\sigma)$  and  $\Delta_-^I \tilde{H}(\sigma)$  at each grid cell, we  
 78 compute rates  $R_+^I(\sigma), R_-^I(\sigma)$  at each cell

$$79 \quad R_+^{I,j}(\sigma) = (1 - M_I) \tilde{R}_+^I e^{(-1/2)\Delta_+ H(\sigma)} + M_I \Gamma(\max(e^{-\beta(\sigma_I - \sigma_I^*)}, 1.0) - 1.0) / \tau$$

$$80 \quad R_-^{I,j}(\sigma) = (1 - M_I) \tilde{R}_-^I e^{(-1/2)\Delta_- H(\sigma)} + M_I \Gamma(\max(e^{\beta(\sigma_I - \sigma_I^*)}, 1.0) - 1.0) / \tau$$

81 Here  $\beta$  is a positive parameter, and we set it to 4.0.  $M_I$  represent the mask of available data  
 82 stations and it may vary with time.  $\sigma_I^*$  = represents the observed station rainfall data at each  
 83 lattice site I.

84 Here the background rates  $\tilde{R}_+^j$  and  $\tilde{R}_-^j$  can be computed from the  $\rho_j \rightarrow$ , probability of occurrence  
 85 of rain rate using the following equations.

$$86 \quad \tilde{R}_+^j = (1/\tau)((\rho_j + 1)/\rho_j), j = 0, 1, 2, \dots, N-1$$

$$87 \quad \tilde{R}_-^j = (1/\tau), j=1, 2, \dots, N$$

88 where  $\tau$  are arbitrary time scale and we set it to 5 hours in our analysis. We define  $\rho_j$  as the  
 89 equilibrium distribution when the Hamiltonian energy difference is zero i.e when local interactions  
 90 are ignored.

91 Now compute the total rate from all grid cells as  $S_R = \Sigma(R_+^I(\sigma) + R_-^I(\sigma))$

92 To limit  $R_-^I(\sigma) = 0$  if  $\sigma_I = 0$

93  $R_+^I(\sigma) = 0$  if  $\sigma_I = N$

94 Now sample a uniform random number  $U$  between 0 and 1 and let  $s = -(1/(S_R)) \log(U)$

95 The order parameter  $\sigma_I^t$  can jump up by one unit or jump down by one unit with some proba-  
 96 bilities depending on whether its neighbors have more or less particle. A jump at a site  $I$  occurs  
 97 according to Markov jump process.

$$98 \quad Prob\{\sigma_I^{t+\Delta t} = \sigma_I^t + 1\} = R_+^I(\sigma^t) \Delta t + o(\Delta t)$$

$$99 \quad Prob\{\sigma_I^{t+\Delta t} = \sigma_I^t - 1\} = R_-^I(\sigma^t) \Delta t + o(\Delta t)$$

100 To limit  $0 \leq \sigma_I^t \leq N$  :

101  $R_+^I(\sigma) = 0$  if  $\sigma_I = N$

102  $R_-^I(\sigma) = 0$  if  $\sigma_I = 0$

103 If  $s \leq \Delta t$ , (i.e during the time interval  $(t, t + \Delta t)$ ,  $\Delta t \rightarrow$  time remaining until next observations,),  
 104 make a single transition at site  $I$  in the following way.

105 Number the rates  $R_+^I(\sigma)$  and  $R_-^I(\sigma)$  from 1 to  $2M$ . Where  $M$  is the number of cells. e. g.  
 106  $R_1, R_2, \dots, R_{2M}$ . Then define the probabilities  $P_j = R_j/S_R$  and its cumulative sums  $S_j = \sum_{k=1}^j P_k$ ,  
 107  $j=1, 2, \dots, 2M$

108 Pick a random number  $U^1$  between 0 and 1 which is independent of U and find the first  $S_{j_0} \geq U^1$   
109 and perform the transition associated with  $R_{j_0}$  such that  
110  $\rightarrow$  if  $R_{j_0} = R_+^{I_0}(\sigma)$ , then  $\sigma_{I_0} = \sigma_{I_0} + 1$  and  $\rightarrow$  if  $R_{j_0} = R_-^{I_0}(\sigma)$ , then  $\sigma_{I_0} = \sigma_{I_0} - 1$

#### 111 *d. Parameters used*

112 Strength of local interactions. We tried with different values of  $J_0$ , For examples,  $J_0 = 8.0$ ,  
113  $J_0 = 10.0$  and  $J_0 = 3.0$ . We found that  $J_0 = 8.0$  is an ideal choice. By increasing the  $J_0$  values, it  
114 will give less weight to the climatology, which means local interactions are significant.

115 Positive parameter  $\beta = 4.0$

116 Time scale  $\tau = 5hrs$

117  $\Gamma = 1.0$

118  $h = 0.0$

## 119 **2. Unstructured cell to regular grid**

120 Finally, we converted the sigma values back to the rainfall values by taking the middle value  
121 of bin (For example, if bin explain the rainfall between 5 mm/day and 10 mm/day we take it as  
122 7.5 mm/day). Then the triangular cell output (unstructured) is converted to regular lat-lon grid  
123 ( $0.25^\circ \times 0.25^\circ$ ) using the bilinear interpolation.

124 For identifying our product from the other existing product we call it as CPCM rainfall  
125 product and its statistics are compared against  $0.25^\circ \times 0.25^\circ$  IMD rainfall (Pai et al. 2014) and  
126 APHRODITE rainfall (Yatagai et al. 2012) period 1951-1970.

### 127 3. Shepard interpolation method for high resolution rainfall

128 In order to compare the efficiency of our new Lattice model interpolation technique over the  
129 conventional shepard method, we also reproduced the high resolution (0.25x0.25) rainfall product  
130 (similar to (Pai et al. 2014)) using the inverse distance weighted shepard interpolation method but  
131 here we used less number of station data (1380 stations) as opposed to 6955 stations used in (Pai  
132 et al. 2014).

133 Using the Shepard method, the interpolated values at a grid node is computed from a weighted  
134 sum of the neighborhood observations. The interpolated values are more influenced by the nearby  
135 observational points and less influenced by the distant points. Following the previous study of (Pai  
136 et al. 2014), here we considered limited number of neighboring points (minimum 1 and maximum  
137 4) within a search distance of 1.5 degree around the grid node where we want to compute the  
138 interpolated values.

139 For example, for the grid point P, the distance based weighting factors are defined as follows.

140 if the data point distance  $d_i = 0$ , we used the station data directly

141 if  $0 < d_i < D_x/3$  : weighting based on  $1/d_i$

142  $D_x/3 < d_i < D_x$  : weighting based on  $27((D_i/D_x) - 1)^2/4D_x$

143  $d_i > D_x$ , station data not used. Here the  $D_x$  is the search radius.

144 In addition to the distance based weighting factor we also used a direction factor, which repre-  
145 sented the shadowing of the influence of a data point from P by a nearer on in the same direction.

146 The directional weighting factor for the data point  $D_i$  near to the grid node P is defined as

147  $t_i = \sum S_j [1 - \cos(D_i P D_j)] / \sum S_j$ , where  $D_j$  represent data points near to grid point P except data  
148 point  $D_i$

149 Therefore the new weighting function is

150  $W_i = (S_i)^2 X(1 + t_i)$

151 Finally the interpolated value at grid node P is defined as  $f(P) = \sum W_i Z_i / \sum W_i$  if  $d_i \neq 0$

152  $f(P) = Z_i$  if  $d_i = 0$

153 Since we used less number of stations (1380 stations) for the high resolution gridded datasets  
 154 as opposed to 6955 stations used in (Pai et al. 2014), there are possibilities that no data points are  
 155 found within the search distance  $D_x$ . Therefore, lot of missing points are noted in the final gridded  
 156 product as opposed to (Pai et al. 2014). This limitations are covered in our Lattice based product.

157 Error estimate between each data products are computed from the following equation. Error  
 158 between rainfall product 1 and 2 is calculated as

$$N_{12} = \sum_{x_i} \sum_{t_i} (2|R^1(x_i, t_i) - R^2(x_i, t_i)|) / (R^1(x_i, t_i) + R^2(x_i, t_i)) \dots \dots \dots (1)$$

159 Here  $R^1$  and  $R^2$  represent rainfall of data product 1 and 2

160 **4. Results**

161 *a. Parameter J0=8.0*

162 Fig 1 left panel shows the spread of cells with rainfall ( $\sigma^*$ ) over the Indian subcontinent for  
 163 the day 01-July-1951 and the right panel show the corresponding model output for the same day  
 164 ( $\sigma$ ). The model give the same value of observed rainfall over the cells where the rainfall data is  
 165 available, and elsewhere the model give the rainfall value with some probabilities depending on  
 166 whether its neighbors have more or less rainfall. From Fig 1 left panel it is quite clear that there is  
 167 a large data gap in the northeast India, Jammu and Kashmir, and eastern coast of India. In spite of  
 168 this, the model reasonably give the rainfall over this region.

169 Location of 1380 rain gauge stations are given in Figure 2. Colors indicate percentage of days  
170 with rainfall reported from the station (period considered 1951-2004). It is found that most of the  
171 stations have minimum 70% data availability during the analysis period 1951-1970.

172 Fig 3 shows day to day variation of number cells with rainfall. Out of total 11921 triangular cells  
173 over the Indian subcontinent, on an average around 1200 cells with rainfall is available. However,  
174 during the recent time there is a drop in the number of cells with rainfall.

175 In Figure 4 JJAS mean climatology of CPCM product (present rainfall product) is compared  
176 against the existing high resolution rainfall products (APHRODITE and IMD 0.25deg high reso-  
177 lution product). The heavy precipitation over the windward side of Western Ghats, copious rainfall  
178 over the central India are well captured in our new product. It is found that by using only less num-  
179 ber of stations (around 1380), our product reasonably matches with other gridded rainfall prod-  
180 ucts with a pattern correlation of 0.85 with both IMD high resolution datasets and APHRODITE  
181 dataset. Note that IMD used 6955 stations to produce 0.25°gridded rainfall product whereas here  
182 we used only maximum 1380 stations. Obviously the rainfall over the northeast India is slightly  
183 underestimated and it may be due to absence of sufficient number of stations over this region (only  
184 two stations are available in the present dataset) and we believe that this problem can be solved by  
185 updating the station data from IMD. However, the pattern correlation between CPCM product and  
186 TRMM3b42 is 0.80. Comparatively less correlation here may be due to different period used in  
187 TRMM3B42 to compute climatology (1998-2014) whereas in all other products we used a com-  
188 mon period 1951-1970. By using the less number of stations the shepard interpolation technique  
189 is not able to reproduce the rainfall over the northwestern India and northeastern India Figure 4e.  
190 In the daily time series, number of missing points are shown in other regions also (see Figure 5).

191 The seasonal mean rainfall in all the three products are given in Table 1. It is found that seasonal  
192 mean is comparable in all products except APHRODITE where it is underestimated. Seasonal  
193 mean of our product is 872 mm which is very close to the IMD product where is 864 mm.

194 The standard deviation of seasonal mean rainfall shows an overall agreement between each data  
195 products (Figure 6). In APHRODITE the standard deviation over the central India and northeast  
196 India is weak compared to both IMD and CPCM product. The standard deviation of IMD 0.25deg  
197 product and our product (CPCM) matches very well.

198 The mean rainfall bias between each rainfall product is presented in Figure 7. In most of the  
199 Indian landmass the CPCM product estimate more precipitation than both APHRODITE and IMD.  
200 However, in northeast region, CPCM product estimate less rainfall compared to other two rainfall  
201 products. In the northeast region the number of stations are very less (only two), it may be reason  
202 for this less rainfall in CPCM product. It is also found that IMD product estimate more rainfall over  
203 most of the Indian landmass compared to APHRODITE. However, it underestimate orographic  
204 rainfall over the Western Ghats compared to APHRODITE.

205 The RMS error also show large difference between the CPCM product and other rainfall prod-  
206 ucts over northeast India and western Ghats whereas this differences are quite negligible in other  
207 regions of Indian landmass (Figure 8). It is clear that there is always an uncertainty in the rainfall  
208 over the northeast India which is quite clear from the RMS difference of APHRODITE and IMD  
209 rainfall (Figure 8c)

210 The spatial pattern of temporal correlation between CPCM rainfall product and other products  
211 are generally high (more than 0.8). Over the central India the correlation between CPCM and  
212 APHRODITE exceed 0.9. However, in northeast India and Jammu and kashmir region the corre-  
213 lation value is less and sometime goes to negative correlation.

214 The interannual variation of all India summer monsoon rainfall (Rainfall averaged over the In-  
215 dian subcontinent) is compared in Figure 10a. The inter-annual variability of IMD high resolution  
216 product and our present rainfall product matches very well both in terms of magnitude and phase  
217 (Figure 10a). This is reflected in the high correlation value (0.95) between these two gridded prod-  
218 uct. The magnitude of the rainfall time series derived from the APHRODITE is underestimated  
219 in all years compared to both IMD and CPCM gridded rainfall. However, in most years the time  
220 series in APHRODITE are in phase with the time series derived from other products (CPCM and  
221 IMD)) and therefore, the correlation between the APHRODITE and CPCM is 0.92.

222 Similarity, the interannual variability of central India summer monsoon rainfall is compared in  
223 Figure 10b. Compared to both IMD and APHRODITE, the rainfall time series of CPCM product  
224 have slightly higher values over the Central India in almost all years. However, it is in phase with  
225 the rainfall time series of both gridded IMD and APHRODITE product. The correlation value of  
226 rainfall time series of IMD with that of CPCM is 0.98. Similarly, the correlation value of rainfall  
227 time series of APHRODITE with that of CPCM is also 0.98.

228 The daily variation of rainfall anomaly over the central India for five monsoon season  
229 (1951,1955,1960,1965,1970) are given in Figure 12. It is clear that the present rainfall product  
230 is quite good in capturing the signs of rainfall over the central India in agreement with other prod-  
231 ucts for examples IMD and APHRODITE. In all the five monsoon season analyzed, the active and  
232 break phases identified are in good agreement with other products.

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Rainfall product	Seasonal Mean
IMD 6955 statns	864 mm
APHRODITE	756 mm
CPCM 1380 statns	872 mm
TRMM3B42	887 mm
IMD 1380 statns	854 mm
IMD 1380 statns (RelaxedR)	920 mm

TABLE 1. Seasonal Mean Rainfall in different rainfall products.

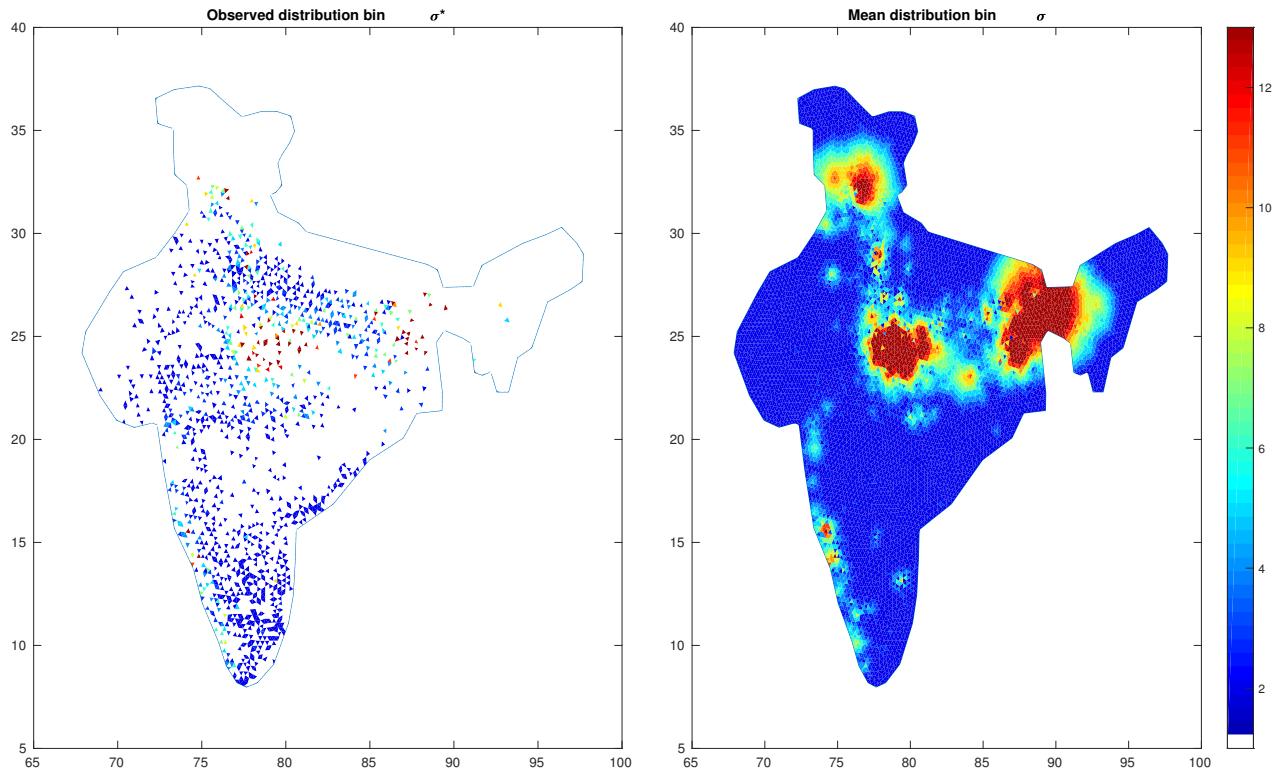
Rainfall products	Error
IMD 6955 stats vs IMD 1380 stats (inside Rinf)	0.69
IMD 6955 vs IMD 1380 stats relaxedR (Global)	0.76
IMD 6955 vs IMD 1380 stats relaxedR (inside Rinf))	0.69
IMD 6955 vs IMD 1380 stats relaxedR (Outside Rinf))	1.14
IMD 6955 stats vs CPCM 1380 stats (Global)	0.83
IMD 6955 stats vs CPCM 1380 stats (inside Rinf)	0.80
IMD 6955 stats vs CPCM 1380 stats (outside Rinf)	0.96
Aphrodite vs IMD 6955 stats (Global)	0.88
CPCM 1380 stats vs Aphrodite (Global)	0.97

TABLE 2. Error estimate between each rainfall products calculated via eq (1).

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295 FIG. 1. Left panel: Observed sigmastar values at each stations for 02-July-1951. Right panel: Model value of  
 296 sigma for the same day

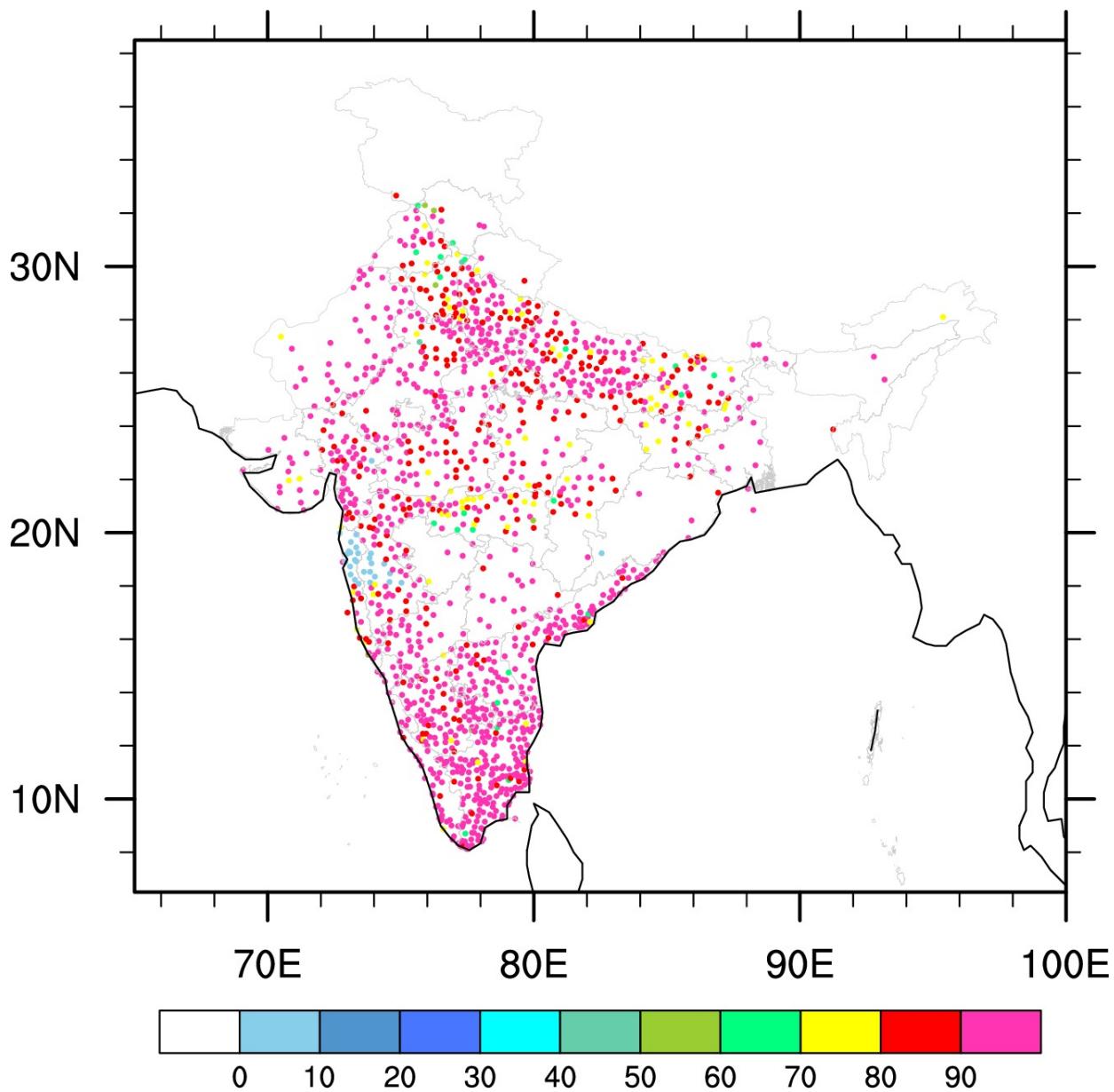
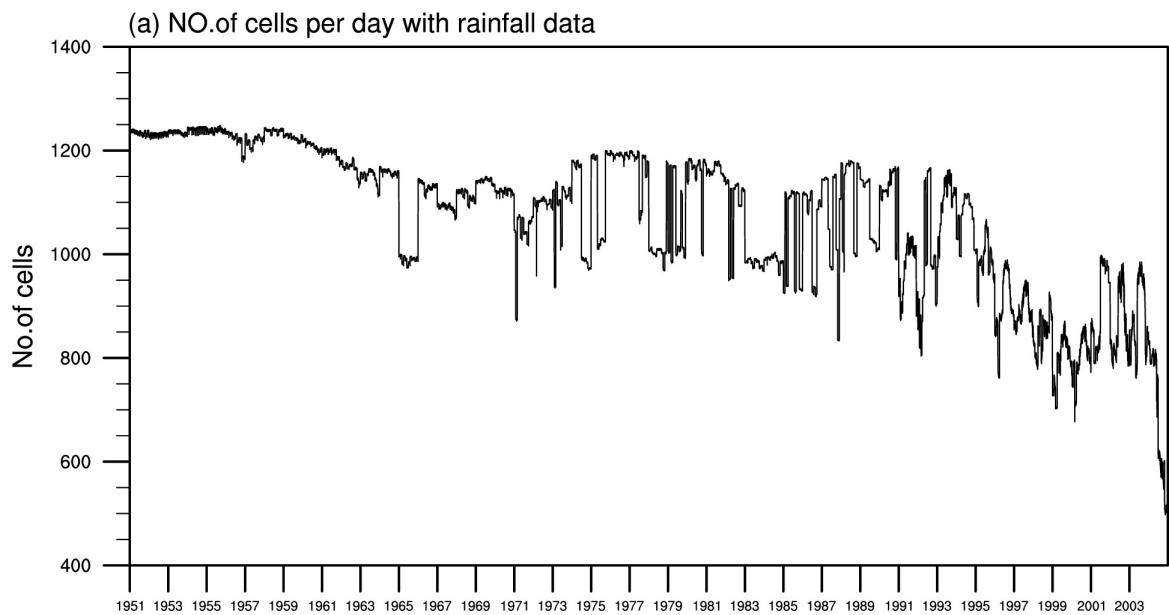
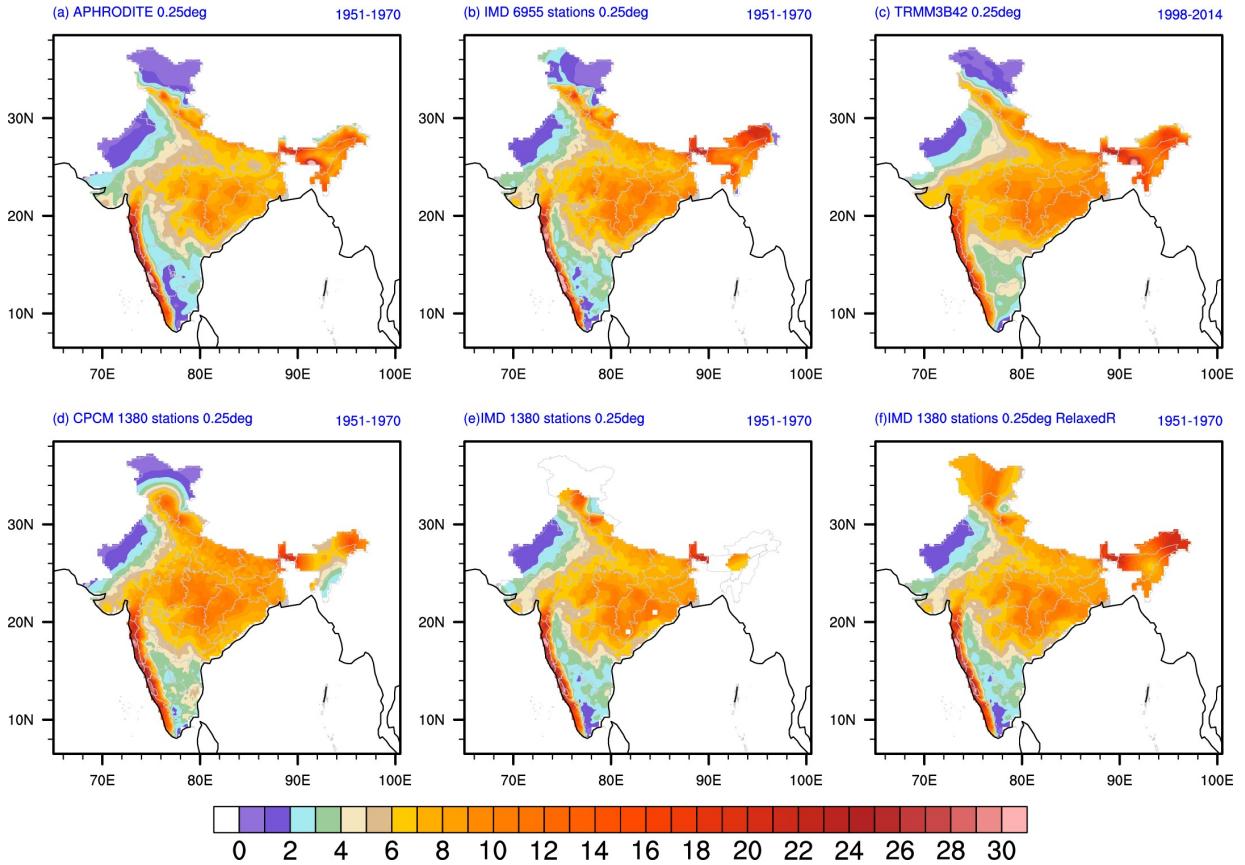


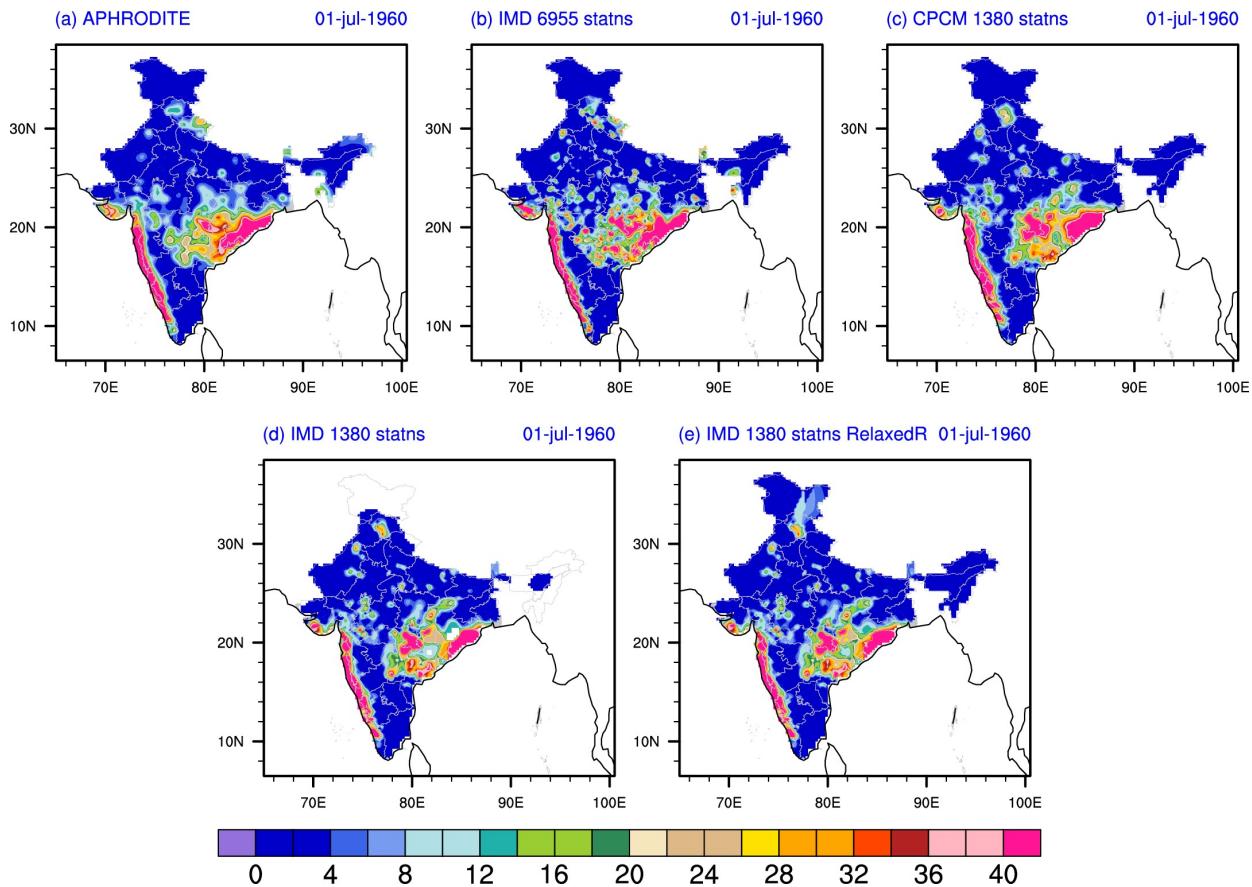
FIG. 2. Location of 1380 rain gauge stations. Colors indicate percentage of days with rainfall data.



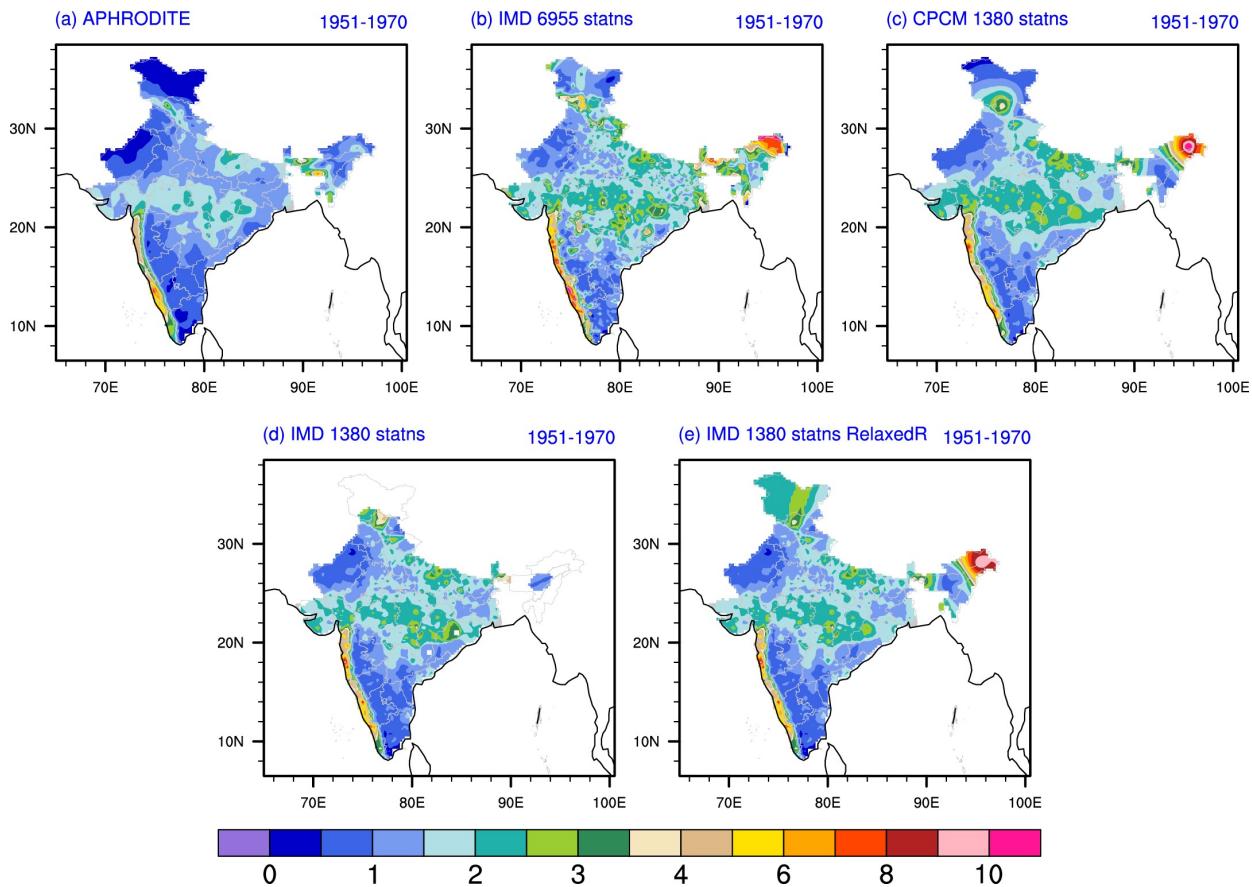
297 FIG. 3. Number of cells per day with rainfall. Total number of cells over the the Indian subcontinent is 11921  
298 which is roughly equal to 0.25 deg spatial resolution.



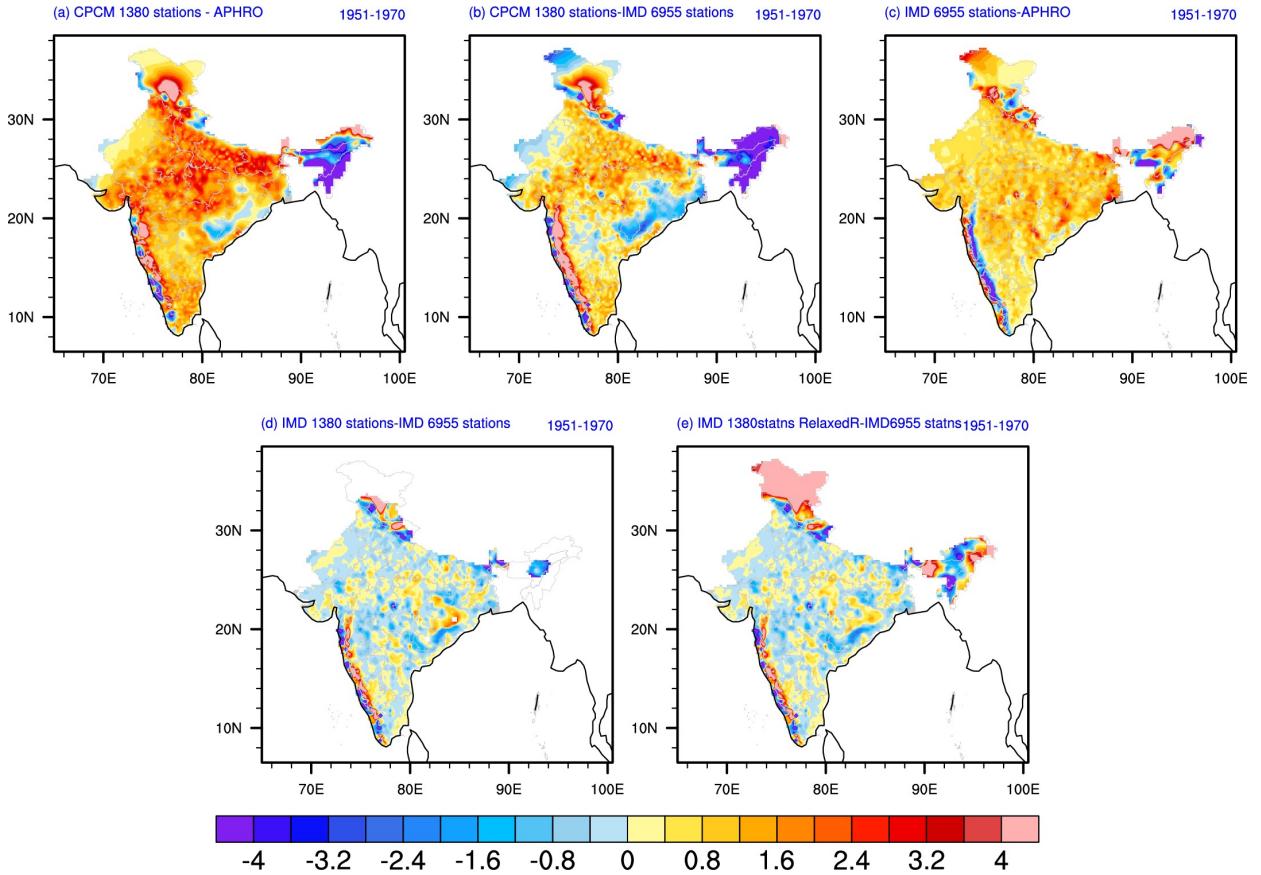
299 FIG. 4. Comparison of JJAS rainfall climatology (a) APHRODITE product (b) IMD 6955 station product (c)  
 300 TRMM product (d) Our product (CPCM; 1380 stations) (e) IMD 1380 station product (f) IMD 1380 relaxed  
 301 radius of influence station product. Units mm/day. JJAS climatology of TRMM is computed for the period  
 302 1998-2014. For all other products the climatology is computed for the period 1951-1970.



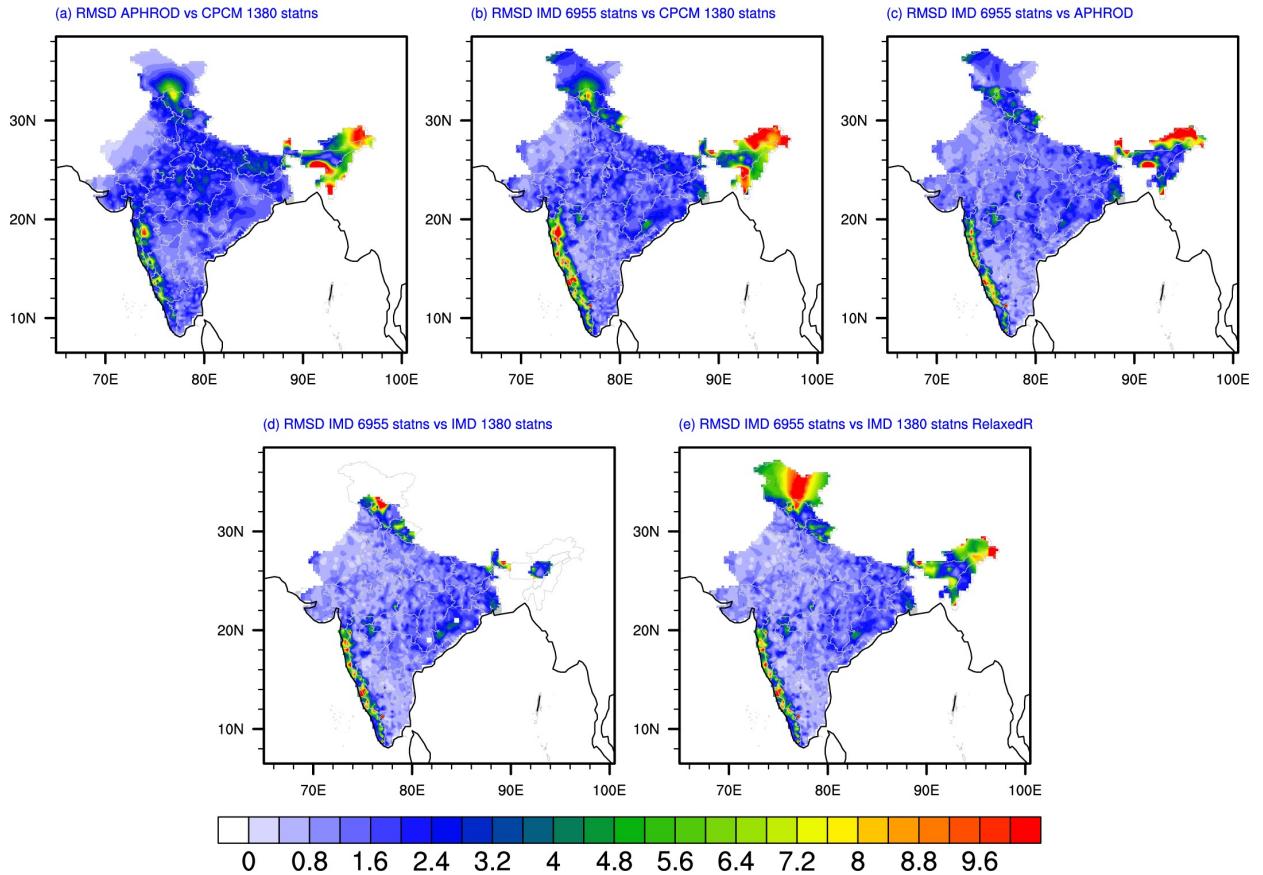
303 FIG. 5. Comparison of 01-July-1960 (a typical case) rainfall in different gridded products (a) APHRODITE  
 304 product (b) IMD 6955 station product (c) CPCM 1380 station product (d) IMD 1380 station product (e) IMD  
 305 1380 relaxed radius of influence station product. Units mm/day.



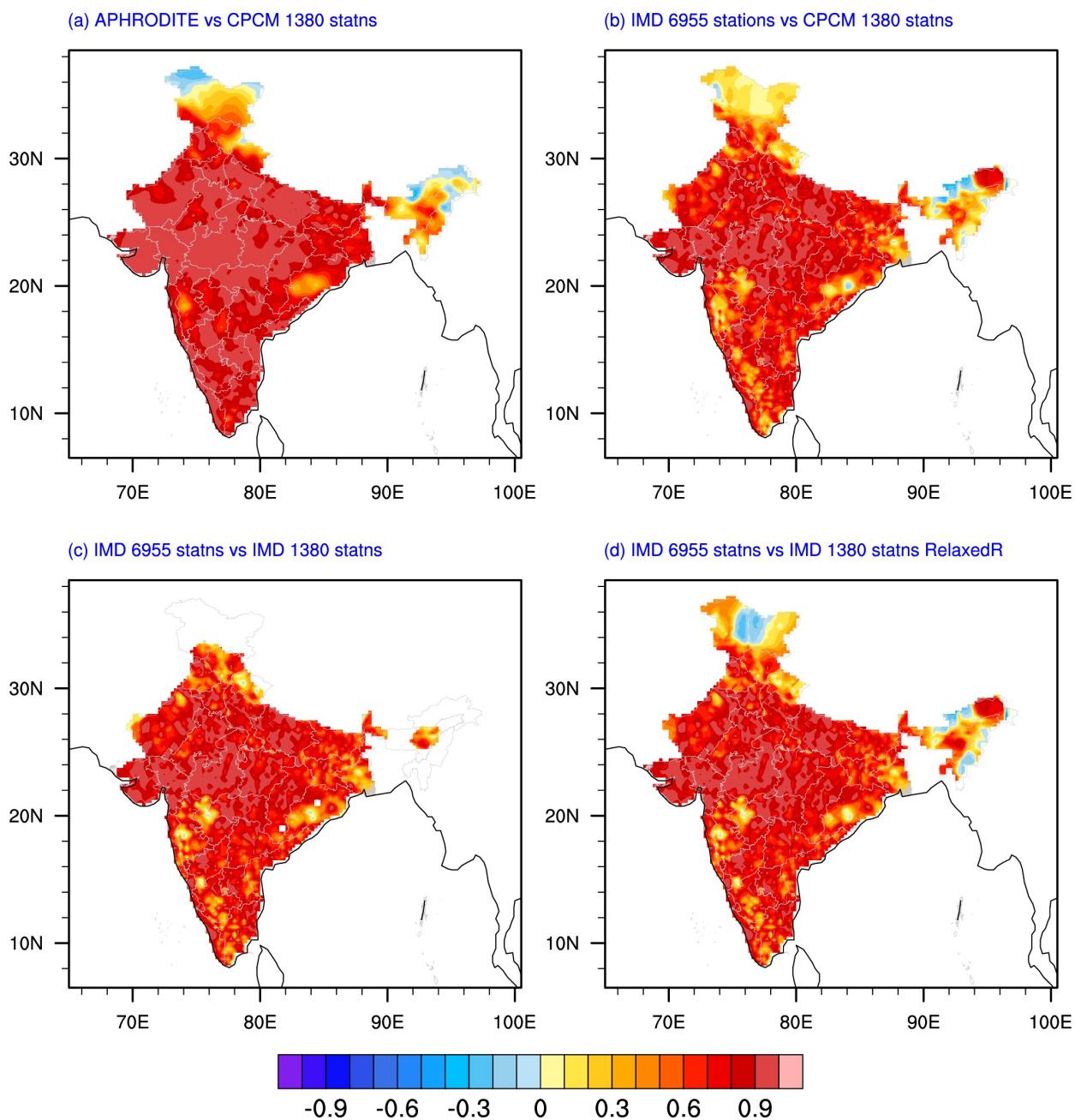
306 FIG. 6. Comparison of JJAS rainfall standard deviation (a) APHRODITE product (b) IMD 6955 station  
 307 product (c) CPCM1380 product (d) IMD 1380 station product (e) IMD 1380 relaxed radius of influence station  
 308 product. Units mm/day. Standard deviation is computed over the JJAS mean rainfall for the period 1951-1970.



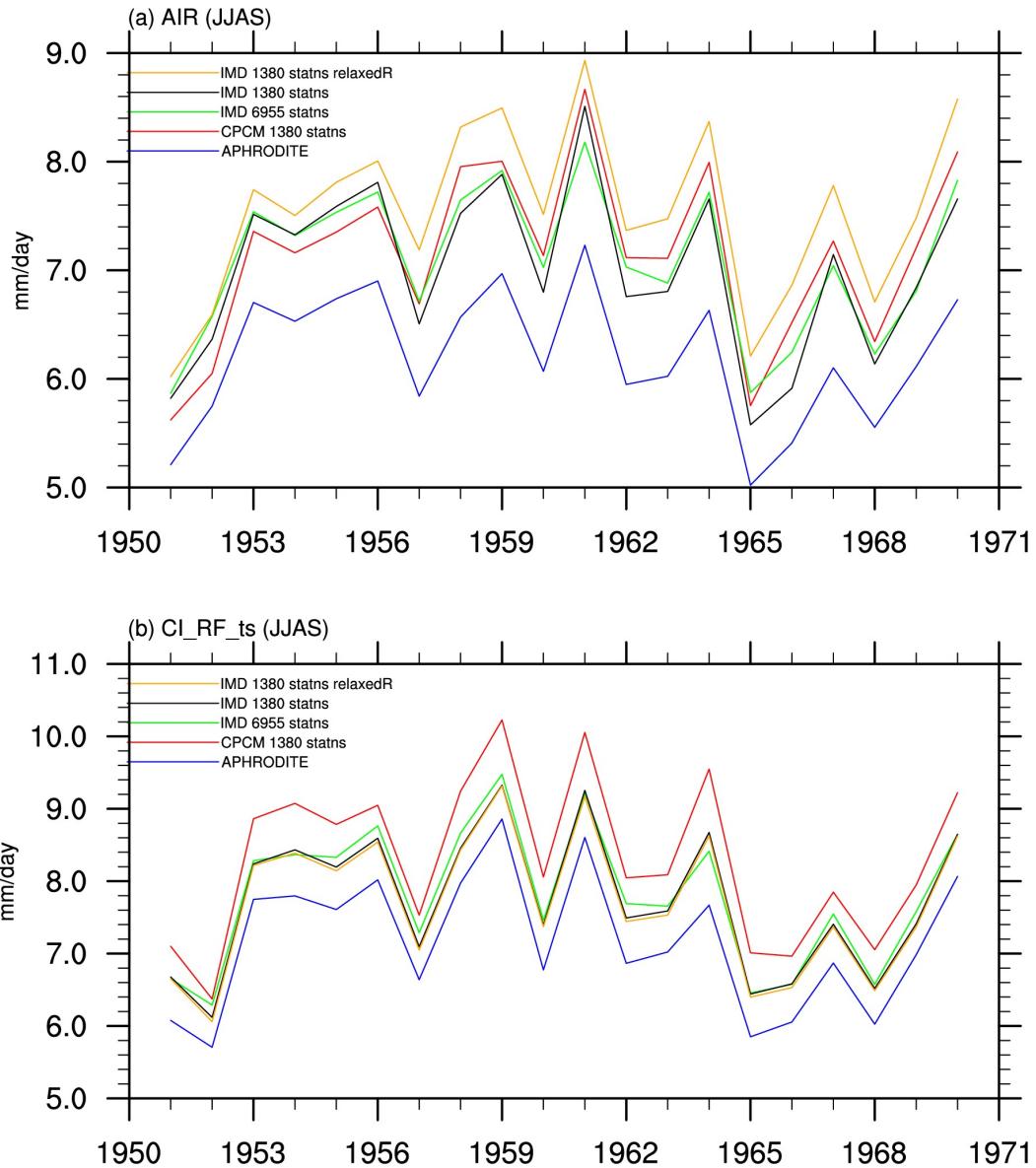
309 FIG. 7. JJAS mean rainfall difference between (a) CPCM and APHRODITE product (CPCM-APHRODITE)  
 310 (b) CPCM and IMD 6955 station product (CPCM-IMD) (c) IMD 6955 station product and APHRODITE (IMD-  
 311 APHRODITE) (d) IMD 1380 station product and IMD 6955 station product (IMD 1380 station product-IMD  
 312 6955 station product) (e) IMD 1380 relaxed radius of influence station product and IMD 6955 station product  
 313 (IMD 1380 relaxed radius of influence station product-IMD 6955 station product) . Units mm/day. Difference  
 314 is computed over the JJAS mean rainfall for the period 1951-1970.



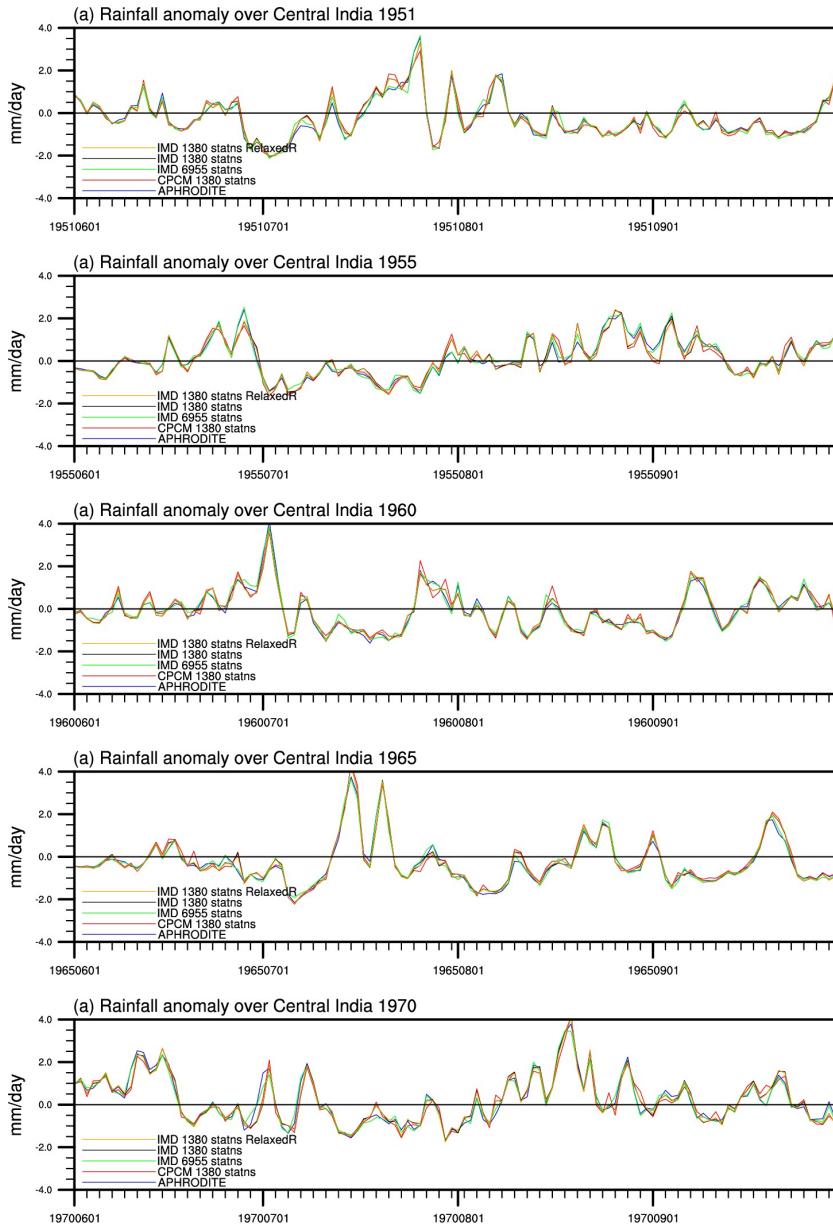
315 FIG. 8. (a) RMS deviation between APHRODITE product and our product (b) RMS deviation between IMD  
 316 6955 station product and our product (c) RMS deviation between IMD 6955 station product and Aphrodite (d)  
 317 RMS deviation between IMD 6955 station product and IMD 1380 station product (e) RMS deviation between  
 318 IMD 6955 station product and IMD 1380 relaxed radius of influence station product.



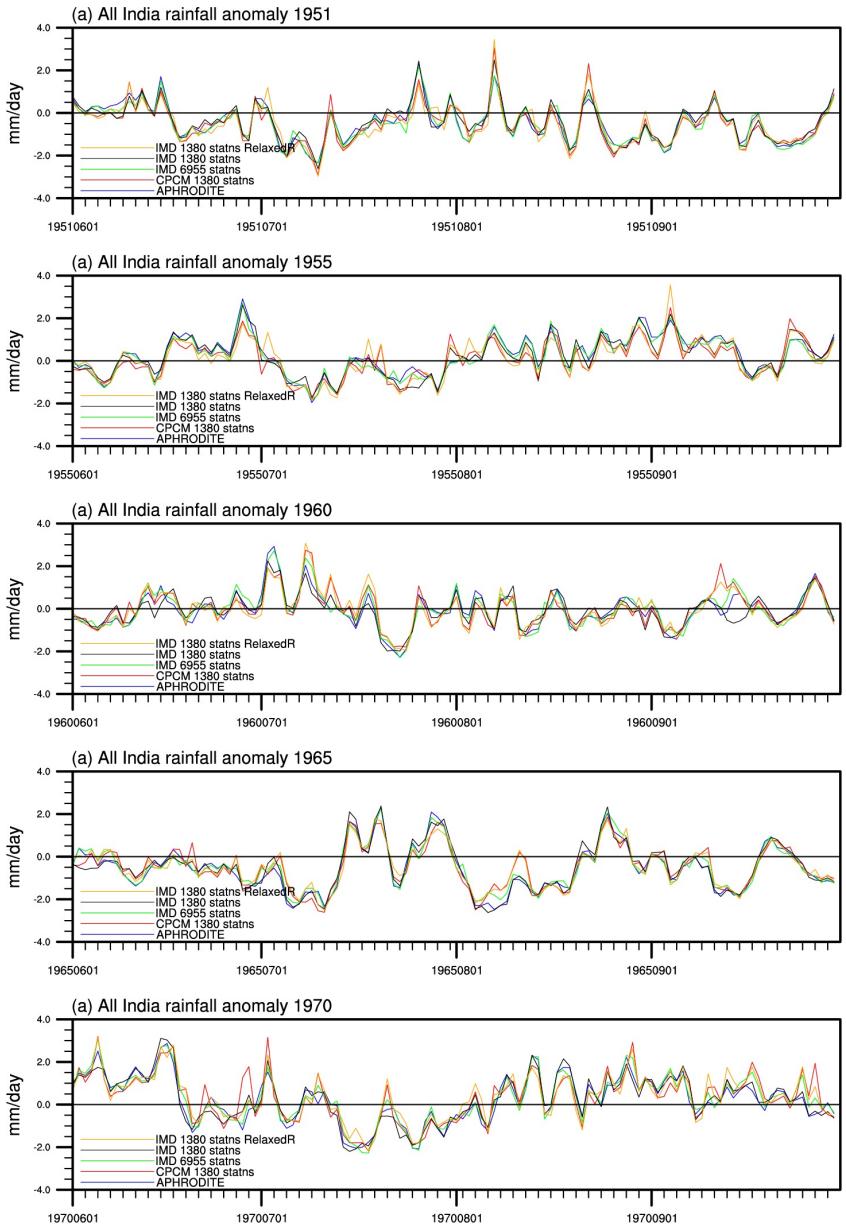
319 FIG. 9. (a) Grid point correlation of JJAS mean rainfall (a) APHRODITE rainfall vs CPCM1380 rainfall (b)  
 320 IMD 6955 station product rainfall vs CPCM1380 rainfall (c) IMD 6955 station product rainfall vs IMD 1380  
 321 station product rainfall (d)IMD 6955 station product rainfall vs IMD 1380 relaxed radius of influence station  
 322 product. Period of analysis: 1951-1970.



323 FIG. 10. (a) Interannual variation of All India summer monsoon rainfall (averaged over Indian landmass and  
 324 averaged over JJAS season). IMD 6955 stations (Green) , APHRODITE (blue), CPCM 1380 station product  
 325 (Red), IMD 1380 station product (black) IMD 1380 station RelaxedR (Orange) (b) Same as (a) but rainfall is  
 326 averaged over Central India (12N-22N, 70E-90E).



327 FIG. 11. Daily variation of rainfall anomaly over the Central India (a) for 1951 JJAS period (b) 1955 JJAS  
 328 period (c) 1960 JJAS period (d) 1965 JJAS period (e) 1970 JJAS period.



329 FIG. 12. Daily variation of All India rainfall anomaly (a) for 1951 JJAS period (b) 1955 JJAS period (c) 1960  
 330 JJAS period (d) 1965 JJAS period (e) 1970 JJAS period.