

INTRODUCTION TO BIRATIONAL ANABELIAN GEOMETRY

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ABSTRACT. We survey recent developments in the Birational Anabelian Geometry program aimed at the reconstruction of function fields of algebraic varieties over algebraically closed fields from pieces of their absolute Galois groups.

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INTRODUCTION

The essence of Galois theory is to *lose* information, by passing from a field k , an algebraic structure with two compatible operations, to a (profinite) group, its absolute Galois group G_k or some of its quotients. The original goal of testing solvability in radicals of polynomial equations in one variable over the rationals was superseded by the study of deeper connections between the arithmetic in k , its ring of integers, and its

Date: November 3, 2010.

Key words and phrases. Galois groups, function fields.

completions with respect to various valuations on the one hand, and (continuous) representations of G_k on the other hand. The discovered structures turned out to be extremely rich, and the effort led to the development of deep and fruitful theories: class field theory (the study of abelian extensions of k) and its nonabelian generalizations, the Langlands program. In fact, techniques from class field theory (Brauer groups) allowed one to deduce that Galois groups of global fields encode the field:

Theorem 1 (Neukirch-Uchida [39], [60]). *Let K and L be number fields or function fields of curves over finite fields with isomorphic Galois groups*

$$G_{K^{\text{solv}}/K} \simeq G_{L^{\text{solv}}/L}$$

of their maximal solvable extensions. Then

$$L \simeq K.$$

In another, more geometric direction, Galois theory was subsumed in the theory of the étale fundamental group. Let X be an algebraic variety over a field k . Fix an algebraic closure \bar{k}/k and let $K = k(X)$ be the function field of X . We have an associated exact sequence

$$(\Psi_X) \quad 1 \rightarrow \pi_1(X_{\bar{k}}) \rightarrow \pi_1(X) \xrightarrow{\text{pr}_X} G_k \rightarrow 1$$

of étale fundamental groups, exhibiting an action of the Galois group of the ground field k on the *geometric fundamental group* $\pi_1(X_{\bar{k}})$. Similarly, we have an exact sequence of Galois groups

$$(\Psi_K) \quad 1 \rightarrow G_{\bar{k}(X)} \rightarrow G_K \xrightarrow{\text{pr}_K} G_k \rightarrow 1.$$

Each k -rational point on X gives rise to a section of pr_X and pr_K .

When X is a smooth projective curve of genus $g \geq 2$, its geometric fundamental group $\pi_1(X_{\bar{k}})$ is a profinite group in $2g$ generators subject to one relation. Over fields of characteristic zero, these groups depend only on g but not on the curve. However, the sequence (Ψ_X) gives rise to a plethora of representations of G_k and the resulting configuration is so strongly rigid¹ that it is natural to expect that it encodes much of the geometry and arithmetic of X over k .

For example, let k be a *finite field* and X an abelian variety over k of dimension g . Then G_k is the procyclic group $\hat{\mathbb{Z}}$, generated by the Frobenius, which acts on the Tate module

$$T_{\ell}(X) = \pi_{1,\ell}^a(X_{\bar{k}}) \simeq \mathbb{Z}_{\ell}^{2g},$$

¹“ausserordentlich stark”, as Grothendieck puts it in [29]

where $\pi_{1,\ell}^a(X_{\bar{k}})$ is the ℓ -adic quotient of the abelianization $\pi_1^a(X_{\bar{k}})$ of the étale fundamental group. By a theorem of Tate [59], the characteristic polynomial of the Frobenius determines X , up to isogeny. Moreover, if X and Y are abelian varieties over k then

$$\mathrm{Hom}_{G_k}(T_{\ell}(X), T_{\ell}(Y)) \simeq \mathrm{Hom}_k(X, Y) \otimes \mathbb{Z}_{\ell}.$$

Similarly, if k is a *number field* and X, Y abelian varieties over k then

$$\mathrm{Hom}_{G_k}(\pi_1^a(X), \pi_1^a(Y)) \simeq \mathrm{Hom}_k(X, Y) \otimes \hat{\mathbb{Z}},$$

by a theorem of Faltings [26].

With these results at hand, Grothendieck conjectured in [29] that there is a certain class of *anabelian* varieties, defined over a field k (which is finitely generated over its prime field), which are *characterized* by their fundamental groups. Main candidates are hyperbolic curves and varieties which can be successively fibered by hyperbolic curves. There are three related conjectures:

Isom: An anabelian variety X is determined by (Ψ_X) , i.e., by the profinite group $\pi_1(X)$ together with the action of G_k .

Hom: If X and Y are anabelian, then there is a bijection

$$\mathrm{Hom}_k(X, Y) = \mathrm{Hom}_{G_k}(\pi_1(X), \pi_1(Y)) / \sim$$

between the set of dominant k -morphisms and G_k -equivariant open homomorphisms of fundamental groups, modulo conjugacy (inner automorphisms by the geometric fundamental group of Y).

Sections: If X is anabelian then there is a bijection between the set of rational points $X(k)$ and the set of sections of pr_X (modulo conjugacy).

Similar conjectures can be made for nonproper varieties. Excising points from curves makes them “more” hyperbolic. Thus, one may reduce to the generic point of X , replacing the fundamental group by the Galois group of the function field $K = k(X)$. In the resulting *birational* version of Grothendieck’s conjectures, the exact sequence (Ψ_X) is replaced by (Ψ_K) and the projection pr_X by pr_K .

These conjectures have generated wide interest and stimulated intense research. Here are some of the highlights of these efforts:

- proof of the birational **Isom**-conjecture for function fields over k , where k is finitely generated over its prime field, by Pop [43];
- proof of the birational **Hom**-conjecture over sub- p -adic fields k , i.e., k which are contained in a finitely generated extension of \mathbb{Q}_p , by Mochizuki [35];
- proof of the birational **Section**-conjecture for local fields of characteristic zero, by Königsman [33].

Here is an incomplete list of other significant results in this area [37], [62], [61], [57]. In all cases, the proofs relied on *nonabelian* properties in the structure of the Galois group G_K , respectively, the relative Galois group. Some of these developments were surveyed in [32], [27], [38], [45], [44], [36].

After the work of Iwasawa the study of representations of the maximal pro- ℓ -quotient \mathcal{G}_K of the absolute Galois group G_K developed into a major branch of number theory and geometry. So it was natural to turn to pro- ℓ -versions of the hyperbolic anabelian conjectures, replacing the fundamental groups by their maximal pro- ℓ -quotients and the absolute Galois group G_K by \mathcal{G}_K . Several results in this direction were obtained in [19], [49].

A very different intuition evolved from higher-dimensional birational algebraic geometry. One of the basic questions in this area is the characterization of fields isomorphic to purely transcendental extensions of the ground field, i.e., varieties birational to projective space. Interesting examples of function fields arise from faithful representations of *finite* groups

$$G \rightarrow \text{Aut}(V),$$

where $V = \mathbb{A}_k^n$ is the standard affine space over k . The corresponding variety

$$X = V/G$$

is clearly unirational. When $n \leq 2$ and k is algebraically closed the quotient is rational (even though there exist unirational but nonrational surfaces in positive characteristic). The quotient is also rational when G is abelian and k algebraically closed.

Noether's problem (inspired by invariant theory and the inverse problem in Galois theory) asks whether or not $X = V/G$ is rational for non-abelian groups. The first counterexamples were constructed by Saltman [50]. Geometrically, they are quotients of products of projective spaces

by projective actions of finite *abelian groups*. The first obstruction to (retract) rationality was described in terms of Azumaya algebras and the *unramified* Brauer group

$$\mathrm{Br}_{nr}(k(X)) = \mathrm{H}_{nr}^2(X),$$

(see Section 7). A group cohomological interpretation of these examples was given by the first author in [2]; it allowed one to generate many other examples and elucidated the key structural properties of the obstruction group. This obstruction can be computed in terms of G , in particular, it does not depend on the chosen representation V of G :

$$\mathrm{B}_0(G) := \mathrm{Ker} \left(\mathrm{H}^2(G, \mathbb{Q}/\mathbb{Z}) \rightarrow \prod_B \mathrm{H}^2(B, \mathbb{Q}/\mathbb{Z}) \right),$$

where the product ranges over the set of subgroups $B \subset G$ which are generated by *two commuting* elements. A key fact is that, for $X = V/G$,

$$\mathrm{B}_0(G) = \mathrm{Br}_{nr}(k(X)) = \mathrm{H}_{nr}^2(X),$$

see Section 7 and Theorem 22.

One has a decomposition into primary components

$$(0.1) \quad \mathrm{B}_0(G) = \bigoplus_{\ell} \mathrm{B}_{0,\ell}(G),$$

and computation of each piece reduces to computations of cohomology of the ℓ -Sylow subgroups of G , with coefficients in $\mathbb{Q}_{\ell}/\mathbb{Z}_{\ell}$.

We now restrict to this case, i.e., finite ℓ -groups G and $\mathbb{Q}_{\ell}/\mathbb{Z}_{\ell}$ -coefficients. Consider the exact sequence

$$1 \rightarrow Z \rightarrow G^c \rightarrow G^a \rightarrow 1,$$

where

$$G^c = G/[[G, G], G]$$

is the canonical central extension of the abelianization

$$G^a = G/[G, G].$$

We have

$$(0.2) \quad \mathrm{B}_0(G^c) \hookrightarrow \mathrm{B}_0(G)$$

(see Section 7); in general, the image is a *proper* subgroup. The computation of $\mathrm{B}_0(G^c)$ is a problem in linear algebra: We have a well-defined map

(from “skew-symmetric matrices” on G^a , considered as a linear space over \mathbb{Z}/ℓ) to the center of G^c :

$$\begin{aligned} \wedge^2(G^a) &\xrightarrow{\lambda} Z \\ (\gamma_1, \gamma_2) &\mapsto [\tilde{\gamma}_1, \tilde{\gamma}_2], \end{aligned}$$

where $\tilde{\gamma}$ is some lift of $\gamma \in G^a$ to G^c . Let

$$R(G^c) := \text{Ker}(\lambda)$$

be the subgroup of relations in $\wedge^2(G^a)$ (the subgroup generated by “matrices” of rank one). We say that γ_1, γ_2 form a *commuting pair* if

$$[\tilde{\gamma}_1, \tilde{\gamma}_2] = 1 \in Z.$$

Let

$$R_{\wedge}(G^c) := \langle \gamma_1 \wedge \gamma_2 \rangle \subset R(G^c)$$

be the subgroup generated by commuting pairs. The first author proved in [2] that

$$B_0(G^c) = (R(G^c)/R_{\wedge}(G^c))^{\vee}.$$

Using this representation it is easy to produce examples with nonvanishing $B_0(G)$, thus nonrational fields of G -invariants, already for central extensions of $(\mathbb{Z}/\ell)^4$ by $(\mathbb{Z}/\ell)^3$ [2].

Note that for $K = k(V)^G$ the group G is naturally a quotient of the absolute Galois group G_K . The sketched arguments from group cohomology suggested to focus on \mathcal{G}_K , the pro- ℓ -quotient of G_K and the pro- ℓ -cohomology groups introduced above. The theory of *commuting pairs* explained in Section 4 implies that the groups \mathcal{G}_K are very special: for any function field K over an algebraically closed field one has

$$B_{0,\ell}(G_K) = B_0(\mathcal{G}_K) = B_0(\mathcal{G}_K^c).$$

This lead to a *dismantling* of nonabelian aspects of anabelian geometry. For example, from this point of view it is unnecessary to assume that the Galois group of the ground field k is large. On the contrary, it is preferable if k is algebraically closed, or at least contains all ℓ^n -th roots of 1. More significantly, while the *hyperbolic anabelian geometry* has dealt primarily with curves C , the corresponding $B_0(\mathcal{G}_{k(C)})$, and hence $B_0(\mathcal{G}_{k(C)}^c)$, are *trivial*, since the ℓ -Sylow subgroups of $G_{k(C)}$ are free. Thus we need to

consider function fields K of transcendence degree at least 2 over k . It became apparent that in these cases, at least over $k = \bar{\mathbb{F}}_p$,

$$B_0(\mathcal{G}_K^c) = H_{nr}^2(k(X))$$

encodes a wealth of information about $k(X)$. In particular, it determines all higher unramified cohomological invariants of X (see Section 3).

Let p and ℓ be distinct primes and $k = \bar{\mathbb{F}}_p$ an algebraic closure of \mathbb{F}_p . Let X be an algebraic variety over k and $K = k(X)$ its function field (X will be called a *model* of K). In this situation, \mathcal{G}_K^a is a torsion-free \mathbb{Z}_ℓ -module. Let Σ_K be the set of not procyclic subgroups of \mathcal{G}_K^a which lift to abelian subgroups in the canonical central extension

$$\mathcal{G}_K^c = \mathcal{G}_K / [[\mathcal{G}_K, \mathcal{G}_K], \mathcal{G}_K] \rightarrow \mathcal{G}_K^a.$$

The set Σ_K is canonically encoded in

$$R_\wedge(\mathcal{G}_K^c) \subset \wedge^2(\mathcal{G}_K^a),$$

a group that carries *less* information than \mathcal{G}_K^c (see Section 6).

The main goal of this survey is to explain the background of the following result, proved in [10] and [12]:

Theorem 2. *Let K and L be function fields over algebraic closures of finite fields k and l , of characteristic $\neq \ell$. Assume that the transcendence degree of K over k is at least two and that there exists an isomorphism*

$$(0.3) \quad \Psi = \Psi_{K,L} : \mathcal{G}_K^a \xrightarrow{\sim} \mathcal{G}_L^a$$

of abelian pro- ℓ -groups inducing a bijection of sets

$$\Sigma_K = \Sigma_L.$$

Then $k = l$ and there exists a constant $\epsilon \in \mathbb{Z}_\ell^\times$ such that $\epsilon^{-1} \cdot \Psi$ is induced from a unique isomorphism of perfect closures

$$\bar{\Psi}^* : \bar{L} \xrightarrow{\sim} \bar{K}.$$

The intuition behind Theorem 2 is that the arithmetic and geometry of varieties of transcendence degree ≥ 2 over algebraically closed ground fields is governed by *abelian* or *almost abelian* phenomena. One of the consequences is that central extensions of abelian groups provide *universal* counterexamples to Noether's problem, and more generally, provide *all* finite cohomological obstructions to rationality, at least over $\bar{\mathbb{F}}_p$ (see Section 3).

Conceptually, the proof of Theorem 2 explores a *skew-symmetric* incarnation of the field, which is a *symmetric object*, with two symmetric operations. Indeed, by Kummer theory, we can identify

$$\mathcal{G}_K^a = \text{Hom}(K^\times/k^\times, \mathbb{Z}_\ell).$$

Dualizing again, we obtain

$$\text{Hom}(\mathcal{G}_K^a, \mathbb{Z}_\ell) = \hat{K}^\times,$$

the pro- ℓ -completion of the multiplicative group of K . Recall that

$$K^\times = K_1^M(K),$$

the first Milnor K-group of the field. The elements of $\wedge^2(\mathcal{G}_K^a)$ are matched with symbols in Milnor's K-group $K_2^M(K)$. The symbol (f, g) is infinitely divisible in $K_2^M(K)$ if and only if f, g are algebraically dependent, i.e., $f, g \in E = k(C)$ for some curve C (in particular, we get no information when $\text{tr deg}_k(K) = 1$). In Section 2 we describe how to reconstruct homomorphisms of fields from compatible homomorphisms

$$K_1^M(L) \xrightarrow{\psi_1} K_1^M(K),$$

$$K_2^M(L) \xrightarrow{\psi_2} K_2^M(K).$$

Indeed, the multiplicative group of the ground field k is characterized as the subgroup of infinitely divisible elements of K^\times , thus

$$\psi_1: \mathbb{P}(L) = L^\times/l^\times \rightarrow \mathbb{P}(K) = K^\times/k^\times,$$

a homomorphism of multiplicative groups (which we assume to be injective). The compatibility with ψ_2 means that infinitely divisible symbols are mapped to infinitely divisible symbols, i.e., ψ_1 maps multiplicative groups F^\times of 1-dimensional subfields $F \subset L$ to $E^\times \subset K^\times$, for 1-dimensional $E \subset K$. This implies that already each $\mathbb{P}^1 \subset \mathbb{P}(L)$ is mapped to a $\mathbb{P}^1 \subset \mathbb{P}(K)$. The Fundamental theorem of projective geometry (see Theorem 5) shows that (some rational power of) ψ_1 is a restriction of a homomorphisms of fields $L \rightarrow K$.

Theorem 2 is a pro- ℓ -version of this result. Kummer theory provides the isomorphism

$$\Psi^*: \hat{L}^\times \rightarrow \hat{K}^\times$$

The main difficulty is to recover the *lattice*

$$K^\times/k^\times \otimes \mathbb{Z}_{(\ell)}^\times \subset \hat{K}^\times.$$

This is done in several stages. First, the theory of *commuting pairs* (see [9]) allows to reconstruct abelianized inertia and decomposition groups of valuations

$$\mathcal{I}_\nu^a \subset \mathcal{D}_\nu^a \subset \mathcal{G}_K^a.$$

Note that for divisorial valuations ν we have $\mathcal{I}_\nu^a \simeq \mathbb{Z}_\ell$, and the set

$$\mathcal{I}^a = \{\mathcal{I}_\nu^a\}$$

resembles a \mathbb{Z}_ℓ -fan in $\mathcal{G}_K^a \simeq \mathbb{Z}_\ell^\infty$. The key issue is to pin down, canonically, a topological generator for each of these \mathcal{I}_ν^a . The next step is to show that

$$\Psi^*(F^\times/l^\times) \subset \hat{E}^\times \subset \hat{K}^\times$$

for some 1-dimensional $E \subset K$. This occupies most of the paper [10], for function fields of surfaces. The higher-dimensional case, treated in [12], proceeds by induction on dimension. The last step, i.e., matching of projective structures on multiplicative groups, is then identical to the arguments used above in the context of Milnor K-groups.

The Bloch–Kato conjecture says that \mathcal{G}_K^c contains all information about the cohomology of G_K , with finite constant coefficients (see Section 3 for a detailed discussion). Thus we can consider Theorem 2 as a *homotopic* version of the Bloch–Kato conjecture, i.e., \mathcal{G}_K^c determines the field K itself, modulo purely-inseparable extension.

Almost abelian anabelian geometry evolved from the Galois-theoretic interpretation of Saltman’s counterexamples described above and the Bloch–Kato conjecture. These ideas, and the “recognition” technique used in the proof of Theorem 2, were put forward in [2], [5], [3], [7], [4], and developed in [9], [10], [11], and [12]. In recent years, this approach has attracted the attention of several experts, for example, F. Pop, see [42], as well as his webpage, for other preprints on this topic, which contain his version of the recognition procedure of K from \mathcal{G}_K^c , for the same class of fields K . Other notable contributions are due to Chebolu, Efrat, and Minac [16], [17].

Several ingredients of the the proof of Theorem 2 sketched above appeared already in Grothendieck’s anabelian geometry, relating the *full* absolute Galois group of function fields to the geometry of projective

models. Specifically, even before Grothendieck's insight, it was understood by Uchida and Neukirch (in the context of number fields and function fields of curves over finite fields) that the identification of decomposition groups of valuations can be obtained in purely group-theoretic terms as, roughly speaking, subgroups with nontrivial center. Similarly, it was clear that Kummer theory essentially captures the multiplicative structure of the field and that the projective structure on $\mathbb{P}_k(K)$ encodes the additive structure. The main difference between our approach and the techniques of, e.g., Mochizuki [35] and Pop [42] is the theory of commuting pairs which is based on an unexpected coincidence: the *minimal* necessary condition for the commutation of two elements of the absolute Galois group of a function field K is also sufficient and already implies that these elements belong to the same decomposition group. It suffices to check this condition on \mathcal{G}_K^c , which *linearizes* the commutation relation. Another important ingredient in our approach is the correspondence between *large* free quotients of \mathcal{G}_K^c and integrally closed 1-dimensional subfields of K . Unfortunately, in full generality, this conjectural equivalence remains open (see the discussion in Section 6). However, by exploiting geometric properties of projective models of K we succeed in proving it in many important cases, which suffices for solving the recognition problem and for several other applications.

Finally, in Section 9 we discuss almost abelian phenomena in Galois groups of *curves* which occur for completely different reasons. An application of a recent result of Corvaja–Zannier concerning the divisibility of values of recurrence sequences leads to a Galois-theoretic Torelli-type result for curves over finite fields.

Acknowledgments. We have benefited from conversations with J.-L. Colliot-Thélène, B. Hassett, and M. Rovinsky. We are grateful to the referee for helpful remarks and suggestions. The first author was partially supported by NSF grant DMS-0701578. The second author was partially supported by NSF grants DMS-0739380 and 0901777.

1. ABSTRACT PROJECTIVE GEOMETRY

Definition 3. A *projective structure* is a pair (S, \mathfrak{L}) where S is a set (of points) and \mathfrak{L} a collection of subsets $\mathfrak{l} \subset S$ (lines) such that

P1 there exist an $s \in S$ and an $\mathfrak{l} \in \mathfrak{L}$ such that $s \notin \mathfrak{l}$;

P2 for every $\mathfrak{l} \in \mathfrak{L}$ there exist at least three distinct $s, s', s'' \in \mathfrak{l}$;

P3 for every pair of distinct $s, s' \in S$ there exists exactly one

$$\mathfrak{l} = \mathfrak{l}(s, s') \in \mathfrak{L}$$

such that $s, s' \in \mathfrak{l}$;

P4 for every quadruple of pairwise distinct $s, s', t, t' \in S$ one has

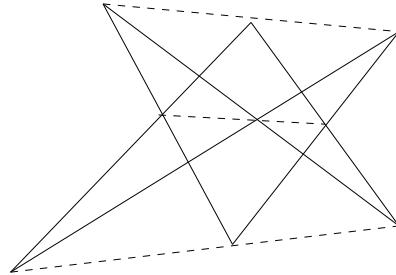
$$\mathfrak{l}(s, s') \cap \mathfrak{l}(t, t') \neq \emptyset \Rightarrow \mathfrak{l}(s, t) \cap \mathfrak{l}(s', t') \neq \emptyset.$$

In this context, one can define (inductively) the dimension of a projective space: a two-dimensional projective space, i.e., a projective plane, is the set of points on lines passing through a line and a point outside this line; a three-dimensional space is the set of points on lines passing through a plane and a point outside this plane, etc.

A *morphism* of projective structures $\rho : (S, \mathfrak{L}) \rightarrow (S', \mathfrak{L}')$ is a map of sets $\rho : S \rightarrow S'$ preserving lines, i.e., $\rho(\mathfrak{l}) \in \mathfrak{L}'$, for all $\mathfrak{l} \in \mathfrak{L}$.

A projective structure (S, \mathfrak{L}) satisfies *Pappus' axiom* if

PA for all 2-dimensional subspaces and every configuration of six points and lines in these subspaces as below



the intersections are collinear.

The following Fundamental theorem of abstract projective geometry goes back at least to Schur and Hessenberg, but there were many researchers before and after exploring the various interconnections between different sets of axioms (Poncelet, Steiner, von Staudt, Klein, Pasch, Pieri, Hilbert, and others).²

²But there is one group of deductions which cannot be ignored in any consideration of the principles of Projective Geometry. I refer to the theorems, by which it is proved that numerical coordinates, with the usual properties, can be defined without the introduction of distance as a fundamental idea. The establishment of this result is

Theorem 4 (Reconstruction). *Let (S, \mathfrak{L}) be a projective structure of dimension $n \geq 2$ which satisfies Pappus' axiom. Then there exists a vector space V over a field k and an isomorphism*

$$\sigma : \mathbb{P}_k(V) \xrightarrow{\sim} S.$$

Moreover, for any two such triples (V, k, σ) and (V', k', σ') there is an isomorphism

$$V/k \xrightarrow{\sim} V'/k'$$

compatible with σ, σ' and unique up to homothety $v \mapsto \lambda v$, $\lambda \in k^\times$.

Main examples are of course the sets of k -rational points of the usual projective \mathbb{P}^n space over k of dimension $n \geq 2$. Then $\mathbb{P}^n(k)$ carries a projective structure: lines are the usual projective lines $\mathbb{P}^1(k) \subset \mathbb{P}^n(k)$.

A related example arises as follows: Let K/k be an extension of fields. Then

$$S := \mathbb{P}_k(K) = (K \setminus 0)/k^\times$$

carries a natural (possibly, infinite-dimensional) projective structure. Moreover, the *multiplication* in K^\times/k^\times preserves this structure. In this setup we have the following reconstruction theorem ([10, Theorem 3.6]):

Theorem 5 (Reconstructing fields). *Let K/k and K'/k' be field extensions of degree ≥ 3 and*

$$\bar{\psi} : S = \mathbb{P}_k(K) \rightarrow \mathbb{P}_{k'}(K') = S'$$

an injective homomorphism of abelian groups compatible with projective structures. Then $k \simeq k'$ and K is isomorphic to a subfield of K' .

The following strengthening is due to M. Rovinsky.

Theorem 6. *Let S be an abelian group equipped with a compatible structure of a projective space. Then there exist fields k and K such that $S = \mathbb{P}_k(K)$.*

Proof. There is an embedding of $S = \mathbb{P}(V)$ as a projective subspace into $\mathrm{PGL}(V)$. Its preimage in $\mathrm{GL}(V)$ is a linear subspace minus a point. Since V is invariant under products (because $\mathbb{P}(V)$ is) we obtain that V is a commutative subalgebra of $\mathrm{Mat}(V)$ and every element is invertible - hence it is a field. \square

one of the triumphs of modern mathematical thought. A.N. Whitehead, “The axioms of projective geometry”, p. v, 1906.

Related *reconstruction theorems* of “large” fields have recently emerged in model theory. The setup there is as follows: A *combinatorial pregeometry (finitary matroid)* is a pair (\mathcal{P}, cl) where \mathcal{P} is a set and

$$cl : \text{Subsets}(\mathcal{P}) \rightarrow \text{Subsets}(\mathcal{P}),$$

such that for all $a, b \in \mathcal{P}$ and all $Y, Z \subseteq \mathcal{P}$ one has:

- $Y \subseteq cl(Y)$,
- if $Y \subseteq Z$, then $cl(Y) \subseteq cl(Z)$,
- $cl(cl(Y)) = cl(Y)$,
- if $a \in cl(Y)$, then there is a finite subset $Y' \subset Y$ such that $a \in cl(Y')$ (finite character),
- (exchange condition) if $a \in cl(Y \cup \{b\}) \setminus cl(Y)$, then $b \in cl(Y \cup \{a\})$.

A *geometry* is a pregeometry such that $cl(a) = a$, for all $a \in \mathcal{P}$, and $cl(\emptyset) = \emptyset$. Standard examples are provided by:

- (1) $\mathcal{P} = V/k$, a vector space over a field k and $cl(Y)$ the k -span of $Y \subset \mathcal{P}$;
- (2) $\mathcal{P} = \mathbb{P}_k(V)$, the usual *projective* space over a field k ;
- (3) $\mathcal{P} = \mathcal{P}_k(K)$, a field K containing an algebraically closed subfield k and $cl(Y)$ - the normal closure of $k(Y)$ in K , note that a *geometry* is obtained after factoring by $x \sim y$ iff $cl(x) = cl(y)$.

It turns out that a sufficiently large field can be reconstructed from the *geometry* of its 1-dimensional subfields.

Theorem 7 (Evans–Hrushovski [24],[25] / Gismatullin [28]). *Let k and k' be algebraically closed fields, K/k and K'/k' field extensions of transcendence degree ≥ 5 over k , resp. k' . Then, every isomorphism of combinatorial geometries*

$$\mathcal{P}_k(K) \rightarrow \mathcal{P}_{k'}(K')$$

is induced by an isomorphism of purely inseparable closures

$$\bar{K} \rightarrow \overline{K'}.$$

In the next section, we show how to reconstruct a field of transcendence degree ≥ 2 from its *projectivized* multiplicative group and the “geometry” of multiplicative groups of 1-dimensional subfields.

2. K-THEORY

Let $K_i^M(K)$ be i -th Milnor K-group of a field K . Recall that

$$K_1^M(K) = K^\times$$

and that there is a canonical surjective homomorphism

$$\sigma_K : K_1^M(K) \otimes K_1^M(K) \rightarrow K_2^M(K);$$

we write (x, y) for the image of $x \otimes y$. The kernel of σ_K is generated by symbols $x \otimes (1 - x)$, for $x \in K^\times \setminus 1$. Put

$$\bar{K}_i^M(K) := K_i^M(K) / \text{infinitely divisible elements}, \quad i = 1, 2.$$

Theorem 8. [11] *Let K and L be function fields of transcendence degree ≥ 2 over an algebraically closed field k , resp. l . Let*

$$\bar{\psi}_1 : \bar{K}_1^M(K) \rightarrow \bar{K}_1^M(L)$$

be an injective homomorphism.

Assume that there is a commutative diagram

$$\begin{array}{ccc} \bar{K}_1^M(K) \otimes \bar{K}_1^M(K) & \xrightarrow{\bar{\psi}_1 \otimes \bar{\psi}_1} & \bar{K}_1^M(L) \otimes \bar{K}_1^M(L) \\ \sigma_K \downarrow & & \downarrow \sigma_L \\ \bar{K}_2^M(K) & \xrightarrow{\bar{\psi}_2} & \bar{K}_2^M(L). \end{array}$$

Assume that $\bar{\psi}_1(K^\times/k^\times) \not\subseteq E^\times/l^\times$, for a 1-dimensional field $E \subset L$ (i.e., a field of transcendence degree 1 over l).

Then there exist an $m \in \mathbb{Q}$ and a homomorphism of fields

$$\psi : K \rightarrow L$$

such that the induced map on K^\times/k^\times coincides with $\bar{\psi}_1^m$.

Sketch of proof. First we reconstruct the multiplicative group of the ground field as the subgroup of infinitely divisible elements: An element $f \in K^\times = K_1^M(K)$ is infinitely divisible if and only if $f \in k^\times$. In particular,

$$\bar{K}_1^M(K) = K^\times/k^\times.$$

Next, we characterize multiplicative groups of 1-dimensional subfields: Given a nonconstant $f_1 \in K^\times/k^\times$, we have

$$\text{Ker}_2(f_1) = E^\times/k^\times,$$

where $E = \overline{k(f_1)}^K$ is the normal closure in K of the 1-dimensional field generated by f_1 and

$$\text{Ker}_2(f) := \{g \in K^\times/k^\times = \bar{K}_1^M(K) \mid (f, g) = 0 \in \bar{K}_2^M(K)\}.$$

At this stage we know the infinite-dimensional projective subspaces $\mathbb{P}(E) \subset \mathbb{P}(K)$. To apply Theorem 5 we need to show that projective lines $\mathbb{P}^1 \subset \mathbb{P}(K)$ are mapped to projective lines in $\mathbb{P}(L)$. It turns out that lines can be characterized as intersections of (shifted) $\mathbb{P}(E)$, for appropriate 1-dimensional $E \subset K$. The following technical result lies at the heart of the proof.

□

Proposition 9. [11, Theorem 22] *Let k be an algebraically closed field, K be an algebraically closed field extension of k , $x, y \in K$ algebraically independent over k , $p \in \overline{k(x)}^\times \setminus k \cdot x^\mathbb{Q}$ and $q \in \overline{k(y)}^\times \setminus k \cdot y^\mathbb{Q}$. Suppose that*

$$\overline{k(x/y)}^\times \cdot y \cap \overline{k(p/q)}^\times \cdot q \neq \emptyset.$$

Then there exist

- (1) *an $a \in \mathbb{Q}$,*
- (2) *$c_1, c_2 \in k^\times$ such that*

$$p \in k^\times \cdot (x^a - c_1)^{1/a}, \quad q \in k^\times \cdot (y^a - c_2)^{1/a}$$

and

$$\overline{k(x/y)}^\times \cdot y \cap \overline{k(p/q)}^\times \cdot q = k \cdot (x^a - cy^a)^{1/a},$$

where $c = c_1/c_2$.

Proof. The following proof, which works in characteristic zero, has been suggested by M. Rovinsky (the general case in [11] is more involved).

Assume that there is a nontrivial

$$I \in \overline{k(x/y)}^\times \cdot y \cap \overline{k(p/q)}^\times \cdot q.$$

We obtain equalities in $\Omega_{K/k}$:

$$(2.1) \quad \frac{d(I/y)}{I/y} = r \cdot \frac{d(x/y)}{x/y} \quad \text{and} \quad \frac{d(I/q)}{I/q} = s \cdot \frac{d(p/q)}{p/q},$$

for some

$$r \in \overline{k(x/y)}^\times, \quad \text{and} \quad s \in \overline{k(p/q)}^\times.$$

Using the first equation, rewrite the second as

$$r \cdot \frac{d(x/y)}{x/y} + \frac{d(y/q)}{y/q} = s \cdot \frac{d(p/q)}{p/q},$$

or

$$r \frac{dx}{x} - s \frac{dp}{p} = r \cdot \frac{dy}{y} + \frac{d(q/y)}{q/y} - s \frac{dq}{q}.$$

The differentials on the left and on the right are linearly independent, thus both are zero, i.e., $r = sf = sg - g + 1$, where

$$f = xp'/p \in \overline{k(x)}^\times \quad \text{and} \quad g = yq'/q \in \overline{k(y)}^\times,$$

and p' is derivative with respect to x , q' the derivative. In particular, $s = \frac{1-g}{f-g}$. Applying $d \log$ to both sides, we get

$$\frac{ds}{s} = \frac{g'dy}{g-1} + \frac{g'dy - f'dx}{f-g} = \frac{f'}{g-f}dx + \frac{g'(1-f)}{(1-g)(f-g)}dy.$$

As ds/s is proportional to

$$\frac{d(p/q)}{p/q} = \frac{p'}{p}dx - \frac{q'}{q}dy = f \frac{dx}{x} - g \frac{dy}{y},$$

we get

$$\begin{aligned} x \frac{f'}{f} &= y \frac{g'(1-f)}{(1-g)g}, \\ x \frac{f'}{(1-f)f} &= y \frac{g'}{(1-g)g}. \end{aligned}$$

Note that the left side is in $\overline{k(x)}^\times$, while the right hand side is in $\overline{k(y)}^\times$.

It follows that

$$x \frac{f'}{(1-f)f} = y \frac{g'}{(1-g)g} = a \in k.$$

Solving the ordinary differential equation(s), we get

$$\frac{f}{f-1} = c_1^{-1} x^a \quad \text{and} \quad \frac{g}{g-1} = c_2^{-1} y^a$$

for some $c_1, c_2 \in k^\times$ and $a \in \mathbb{Q}$, so

$$\begin{aligned} f &= (1 - c_1 x^{-a})^{-1} = x \frac{d}{dx} \log(x^a - c_1)^{1/a}, \\ g &= (1 - c_2 y^{-a})^{-1} = y \frac{d}{dy} \log(y^a - c_2)^{1/a}. \end{aligned}$$

Thus finally,

$$p = b_1 \cdot (x^a - c_1)^{1/a} \quad \text{and} \quad q = b_2 \cdot (y^a - c_2)^{1/a}.$$

We can now find

$$s = \frac{(1 - c_1 x^{-a})^{-1} c_2 y^{-a}}{c_2 y^{-a} - c_1 x^{-a}} = \frac{c_2 (x^a - c_1)}{c_2 x^a - c_1 y^a}$$

and then

$$r = sf = \frac{c_2 x^a}{c_2 x^a - c_1 y^a} = (1 - c(x/y)^{-a})^{-1},$$

where $c = c_1/c_2$. From equation (2.1) we find

$$d \log(I/y) = -\frac{1}{a} \frac{dT}{T(1-T)},$$

where $T = c(x/y)^{-a}$, and thus,

$$I = y \cdot b_3 (1 - c^{-1}(x/y)^a)^{1/a} = b_0 (x^a - cy^a)^{1/a}.$$

□

This functional equation has the following projective interpretation: If $E = k(x)$ then the image of each $\mathbb{P}^1 \subset \mathbb{P}(E)$ under Ψ lies in a rational normal curve given by (2) in Proposition 9, where a may *a priori* depend on x . However, a simple lemma shows that it is actually independent of x (in characteristic zero), thus $\Psi^{1/a}$ extends to a field homomorphism. (In general, it is well-defined modulo powers of p , this brings up purely inseparable extensions, which are handled by an independent argument.)

3. BLOCH-KATO CONJECTURE

Let K be a field and ℓ a prime distinct from the characteristic of K . Let

$$\boldsymbol{\mu}_{\ell^n} := \{ \sqrt[\ell^n]{1} \} \quad \text{and} \quad \mathbb{Z}_\ell(1) = \varprojlim \boldsymbol{\mu}_{\ell^n}.$$

We will assume that K contains all ℓ^n -th roots of unity and identify \mathbb{Z}_ℓ and $\mathbb{Z}_\ell(1)$. Let \mathcal{G}_K^a be the abelianization of the maximal pro- ℓ -quotient of the absolute Galois group G_K .

Theorem 10 (Kummer theory). *There is a canonical isomorphism*

$$(3.1) \quad H^1(G_K, \mathbb{Z}/\ell^n) = H^1(\mathcal{G}_K^a, \mathbb{Z}/\ell^n) = K^\times/\ell^n.$$

More precisely, the discrete group $K^\times/(K^\times)^{\ell^n}$ and the compact profinite group \mathcal{G}_K^a/ℓ^n are Pontryagin dual to each other, for a μ_{ℓ^n} -duality, i.e., there is a perfect pairing

$$K^\times/(K^\times)^{\ell^n} \times \mathcal{G}_K^a/\ell^n \rightarrow \mu_{\ell^n}.$$

Explicitly, this is given by

$$(f, \gamma) \mapsto \gamma(\sqrt[\ell^n]{f}) / \sqrt[\ell^n]{f} \in \mu_{\ell^n}.$$

For $K = k(X)$, with k algebraically closed of characteristic $\neq \ell$, we have

- K^\times/k^\times is a free \mathbb{Z} -module and

$$K^\times/(K^\times)^{\ell^n} = (K^\times/k^\times)/\ell^n, \quad \text{for all } n \in \mathbb{N};$$

- identifying $K^\times/k^\times \xrightarrow{\sim} \mathbb{Z}^{(1)}$, one has $K^\times/(K^\times)^{\ell^n} \xrightarrow{\sim} (\mathbb{Z}/\ell^n)^{(1)}$ and

$$\mathcal{G}_K^a/\ell^n \xrightarrow{\sim} (\mathbb{Z}/\ell^n(1))^{(1)},$$

in particular, the duality between $\hat{K}^\times = \widehat{K^\times/k^\times}$ and \mathcal{G}_K^a is modeled on that between

$$\{\text{functions } I \rightarrow \mathbb{Z}_\ell \text{ tending to 0 at } \infty\} \text{ and } \mathbb{Z}_\ell^I.$$

Since the index set I is not finite taking double-duals increases the space of *functions with finite support* to the space of *functions with support converging to zero*, i.e., the support modulo ℓ^n is finite, for all $n \in \mathbb{N}$. For function fields, the index set is essentially the set of irreducible divisors on a projective model of the field. This description is a key ingredient in the reconstruction of function fields from their Galois groups.

In particular, an isomorphism of Galois groups

$$\Psi_{K,L}: \mathcal{G}_K^a \xrightarrow{\sim} \mathcal{G}_L^a$$

as in Theorem 2 implies a canonical isomorphism

$$\Psi^*: \hat{K}^\times \simeq \hat{L}^\times.$$

The Bloch–Kato conjecture, now a theorem established by Voevodsky [63], [64], with crucial contributions by Rost and Weibel [30], [65], describes the cohomology of the absolute Galois group G_K through Milnor K-theory for all n :

$$(3.2) \quad K_n^M(K)/\ell^n = H^n(G_K, \mathbb{Z}/\ell^n).$$

There is an alternative formulation. Let \mathcal{G}_K^c be the canonical central extension of \mathcal{G}_K^a as in the Introduction. We have the diagram

$$\begin{array}{ccc}
& G_K & \\
\pi_c \swarrow & & \searrow \pi \\
\mathcal{G}_K^c & \xrightarrow{\pi_a} & \mathcal{G}_K^a
\end{array}$$

Theorem 11. *The Bloch–Kato conjecture (3.2) is equivalent to:*

(1) *The map*

$$\pi^* : H^*(\mathcal{G}_K^a, \mathbb{Z}/\ell^n) \rightarrow H^*(G_K, \mathbb{Z}/\ell^n)$$

is surjective and

(2) $\text{Ker}(\pi_a^*) = \text{Ker}(\pi^*)$.

Proof. The proof uses the first two cases of the Bloch–Kato conjecture. The first is (3.1), i.e., Kummer theory. Recall that the cohomology ring of a torsion-free abelian group is the exterior algebra on H^1 . We apply this to \mathcal{G}_K^a ; combining with (3.1) we obtain:

$$H^*(\mathcal{G}_K^a, \mathbb{Z}/\ell^n) = \wedge^*(K^\times/\ell^n).$$

Since \mathcal{G}^c is a central extension of the torsion-free abelian group \mathcal{G}_K^a , the kernel of the ring homomorphism

$$\pi_a^* : H^*(\mathcal{G}_K^a, \mathbb{Z}/\ell^n) \rightarrow H^*(\mathcal{G}_K^c, \mathbb{Z}/\ell^n)$$

is an ideal $IH_K(n)$ generated by

$$\text{Ker} (H^2(\mathcal{G}_K^a, \mathbb{Z}/\ell^n) \rightarrow H^2(\mathcal{G}_K^c, \mathbb{Z}/\ell^n))$$

(as follows from the standard spectral sequence argument). We have an exact sequence

$$0 \rightarrow IH_K(n) \rightarrow \wedge^*(K^\times/\ell^n) \rightarrow H^*(\mathcal{G}^c, \mathbb{Z}/\ell^n).$$

On the other hand, we have a diagram for the Milnor K-functor:

$$\begin{array}{ccccccc}
1 & \longrightarrow & \tilde{I}_K(n) & \longrightarrow & \otimes^*(K^\times/\ell^n) & \longrightarrow & K_*^M(K)/\ell^n \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \parallel \\
1 & \longrightarrow & I_K(n) & \longrightarrow & \wedge^*(K^\times/\ell^n) & \longrightarrow & K_*^M(K)/\ell^n \longrightarrow 1 \\
& & & & \parallel & & \\
& & & & H^*(\mathcal{G}_K^a, \mathbb{Z}/\ell^n) & &
\end{array}$$

Thus the surjectivity of π^* is equivalent to the surjectivity of

$$K_n^M(K)/\ell^n \rightarrow H^n(G_K, \mathbb{Z}/\ell^n).$$

Part (2) is equivalent to

$$IH_K(n) \simeq I_K(n),$$

under the isomorphism above. Both ideals are generated by degree 2 components. In degree 2, the claimed isomorphism follows from the Merkurjev–Suslin theorem

$$H^2(G_K, \mathbb{Z}/\ell^n) = K_2^M(K)/\ell^n.$$

□

Thus the Bloch–Kato conjecture implies that \mathcal{G}_K^c completely captures the ℓ -part of the cohomology of G_K . This led the first author to conjecture in [3] that the “homotopy” structure of G_K is also captured by \mathcal{G}_K^c and that morphisms between function fields $L \rightarrow K$ should be captured (up to purely inseparable extensions) by morphisms $\mathcal{G}_K^c \rightarrow \mathcal{G}_L^c$. This motivated the development of the *almost abelian anabelian geometry*.

We now describe a recent related result in Galois cohomology, which could be considered as one of the incarnations of the general principle formulated above. Let G be a group and ℓ a prime number. The descending ℓ^n -central series of G is given by

$$G^{(1,n)} = G, \quad G^{(i+1,n)} := (G^{(i,n)})^{\ell^n} [G^{(i,n)}, G], \quad i = 1, \dots.$$

We write

$$G^{c,n} = G/G^{(3,n)}, \quad G^{a,n} = G/G^{(2,n)},$$

so that

$$G^c = G^{c,0}, \quad G^a = G^{a,0}.$$

Theorem 12 (Chebolu–Efrat–Mináč [16]). *Let K and L be fields containing ℓ^n -th roots of 1 and*

$$\Psi: \mathcal{G}_K \rightarrow \mathcal{G}_L$$

a continuous homomorphism. The following are equivalent:

- (i) *the induced homomorphism*

$$\Psi^c: \mathcal{G}_K^{c,n} \rightarrow \mathcal{G}_L^{c,n}$$

is an isomorphism;

(ii) *the induced homomorphism*

$$\Psi^* : H^*(\mathcal{G}_L, \mathbb{Z}/\ell^n) \rightarrow H^*(\mathcal{G}_K, \mathbb{Z}/\ell^n)$$

is an isomorphism.

4. COMMUTING PAIRS AND VALUATIONS

A *value group*, Γ , is a totally ordered (torsion-free) abelian group. A (nonarchimedean) *valuation* on a field K is a pair $\nu = (\nu, \Gamma_\nu)$ consisting of a value group Γ_ν and a map

$$\nu : K \rightarrow \Gamma_{\nu, \infty} = \Gamma_\nu \cup \infty$$

such that

- $\nu : K^\times \rightarrow \Gamma_\nu$ is a surjective homomorphism;
- $\nu(\kappa + \kappa') \geq \min(\nu(\kappa), \nu(\kappa'))$ for all $\kappa, \kappa' \in K$;
- $\nu(0) = \infty$.

The set of all valuations of K is denoted by \mathcal{V}_K .

Note that $\bar{\mathbb{F}}_p$ admits only the trivial valuation; we will be mostly interested in function fields $K = k(X)$ over $k = \bar{\mathbb{F}}_p$. A valuation is a *flag map* on K : every finite-dimensional $\bar{\mathbb{F}}_p$ -subspace, and also \mathbb{F}_p -subspace, $V \subset K$ has a flag $V = V_1 \supset V_2 \dots$ such that ν is constant on $V_j \setminus V_{j+1}$. Conversely, every flag map gives rise to a valuation.

Let K_ν , \mathfrak{o}_ν , \mathfrak{m}_ν , and $\mathbf{K}_\nu := \mathfrak{o}_\nu/\mathfrak{m}_\nu$ be the completion of K with respect to ν , the valuation ring of ν , the maximal ideal of \mathfrak{o}_ν , and the residue field, respectively. A valuation of $K = \bar{\mathbb{F}}_p(X)$, is called *divisorial* if the residue field is the function field of a divisor on X ; the set of such valuations is denoted by \mathcal{DV}_K . We have exact sequences:

$$1 \rightarrow \mathfrak{o}_\nu^\times \rightarrow K^\times \rightarrow \Gamma_\nu \rightarrow 1$$

$$1 \rightarrow (1 + \mathfrak{m}_\nu) \rightarrow \mathfrak{o}_\nu^\times \rightarrow \mathbf{K}_\nu^\times \rightarrow 1.$$

A homomorphism $\chi : \Gamma_\nu \rightarrow \mathbb{Z}_\ell(1)$ gives rise to a homomorphism

$$\chi \circ \nu : K^\times \rightarrow \mathbb{Z}_\ell(1),$$

thus to an element of \mathcal{G}_K^a , an *inertia element* of ν . These form the *inertia* subgroup $\mathcal{I}_\nu^a \subset \mathcal{G}_K^a$. The *decomposition group* \mathcal{D}_ν^a is the image of $\mathcal{G}_{K_\nu}^a$ in \mathcal{G}_K^a . We have an embedding $\mathcal{G}_{K_\nu}^a \hookrightarrow \mathcal{G}_K^a$ and an isomorphism

$$\mathcal{D}_\nu^a / \mathcal{I}_\nu^a \simeq \mathcal{G}_{K_\nu}^a.$$

We have a dictionary (for $K = k(X)$ and $k = \bar{\mathbb{F}}_p$):

$$\begin{aligned}\mathcal{G}_K^a &= \{\text{homomorphisms } \gamma : K^\times/k^\times \rightarrow \mathbb{Z}_\ell(1)\}, \\ \mathcal{D}_\nu^a &= \{\mu \in \mathcal{G}_K^a \mid \mu \text{ trivial on } (1 + \mathfrak{m}_\nu)\}, \\ \mathcal{I}_\nu^a &= \{\iota \in \mathcal{G}_K^a \mid \iota \text{ trivial on } \mathfrak{o}_\nu^\times\}.\end{aligned}$$

In this language, inertia elements define flag maps on K . If $E \subset K$ is a subfield, the corresponding homomorphism of Galois groups $\mathcal{G}_K \rightarrow \mathcal{G}_E$ is simply the restriction of special $\mathbb{Z}_\ell(1)$ -valued functions on the space $\mathbb{P}_k(K)$ to the projective subspace $\mathbb{P}_k(E)$.

The following result is fundamental in our approach to anabelian geometry.

Theorem 13. [9], [10, Section 4] *Let K be any field containing a subfield k with $\#k \geq 11$. Assume that there exist nonproportional homomorphisms*

$$\gamma, \gamma' : K^\times \rightarrow R$$

where R is either \mathbb{Z} , \mathbb{Z}_ℓ or \mathbb{Z}/ℓ , such that

- (1) γ, γ' are trivial on k^\times ;
- (2) the restrictions of the R -module $\langle \gamma, \gamma', 1 \rangle$ to every projective line $\mathbb{P}^1 \subset \mathbb{P}_k(K) = K^\times/k^\times$ has R -rank ≤ 2 .

Then there exists a valuation ν of K with value group Γ_ν , a homomorphism $\iota : \Gamma_\nu \rightarrow R$, and an element ι_ν in the R -span of γ, γ' such that

$$\iota_\nu = \iota \circ \nu.$$

In (2), γ, γ' , and 1 are viewed as functions on a projective line and the condition states simply that these functions are linearly dependent.

This general theorem can be applied in the following contexts: K is a function field over k , where k contains all ℓ -th roots of its elements and $R = \mathbb{Z}/\ell$, or $k = \bar{\mathbb{F}}_p$ with $\ell \neq p$ and $R = \mathbb{Z}_\ell$. In these situations, a homomorphism $\gamma : K^\times \rightarrow R$ (satisfying the first condition) corresponds via Kummer theory to an element in \mathcal{G}_K^a/ℓ , resp. \mathcal{G}_K^a . Nonproportional elements $\gamma, \gamma' \in \mathcal{G}_K^a$ lifting to commuting elements in \mathcal{G}_K^c satisfy condition (2). Indeed, for 1-dimensional function fields $E \subset K$ the group \mathcal{G}_E^c is a free central extension of \mathcal{G}_E^a . This holds in particular for $k(x) \subset K$. Hence γ, γ' are proportional on any \mathbb{P}^1 containing 1; the restriction of $\sigma = \langle \gamma, \gamma' \rangle$ to such \mathbb{P}^1 is isomorphic to \mathbb{Z}_ℓ . Property (2) follows since every $\mathbb{P}^1 \subset \mathbb{P}_k(K)$ is a translate, with respect to multiplication in $\mathbb{P}_k(K) = K^\times/k^\times$, of the “standard” $\mathbb{P}^1 = \mathbb{P}_k(k \oplus kx)$, $x \in K^\times$. Finally, the element ι_ν

obtained in the theorem is an inertia element for ν , by the dictionary above.

Corollary 14. *Let K be a function field of an algebraic variety X over an algebraically closed field k of dimension n . Let $\sigma \in \Sigma_K$ be a liftable subgroup. Then*

- $\text{rk}_{\mathbb{Z}_\ell}(\sigma) \leq n$;
- *there exists a valuation $\nu \in \mathcal{V}_K$ and a subgroup $\sigma' \subseteq \sigma$ such that $\sigma' \subseteq \mathcal{I}_\nu^a$, $\sigma \subset \mathcal{D}_\nu^a$, and σ/σ' is topologically cyclic.*

Theorem 13 and its Corollary 14 allow to *recover* inertia and decomposition groups of valuations from $(\mathcal{G}_K^a, \Sigma_K)$. In reconstructions of function fields we need only divisorial valuations; these can be characterized as follows:

Corollary 15. *Let K be a function field of an algebraic variety X over $k = \bar{\mathbb{F}}_p$ of dimension n . If $\sigma_1, \sigma_2 \subset \mathcal{G}_K^a$ are maximal liftable subgroups of \mathbb{Z}_ℓ -rank n such that $\mathcal{I}^a := \sigma_1 \cap \sigma_2$ is topologically cyclic then there exists a divisorial valuation $\nu \in \mathcal{DV}_K$ such that $\mathcal{I}^a = \mathcal{I}_\nu^a$.*

Here we restricted to $k = \bar{\mathbb{F}}_p$ to avoid a discussion of mixed characteristic phenomena. For example, the obtained valuation may be a divisorial valuation of a *reduction* of the field, and not of the field itself.

This implies that an isomorphism of Galois groups

$$\Psi: \mathcal{G}_K^a \rightarrow \mathcal{G}_L^a$$

inducing a bijection of the sets of liftable subgroups

$$\Sigma_K = \Sigma_L$$

induces a bijection of the sets of inertial and decomposition subgroups of valuations

$$\{\mathcal{I}_\nu^a\}_{\nu \in \mathcal{DV}_K} = \{\mathcal{I}_\nu^a\}_{\nu \in \mathcal{DV}_L}, \quad \{\mathcal{D}_\nu^a\}_{\nu \in \mathcal{DV}_K} = \{\mathcal{D}_\nu^a\}_{\nu \in \mathcal{DV}_L}.$$

Moreover, Ψ maps topological generators $\delta_{\nu, K}$ of procyclic subgroups $\mathcal{I}_\nu^a \subset \mathcal{G}_K^a$, for $\nu \in \mathcal{DV}_K$, to generators $\delta_{\nu, L}$ of corresponding inertia subgroups in \mathcal{G}_L^a , which pins down a generator up to the action of \mathbb{Z}_ℓ^\times .

Here are two related results concerning the reconstruction of valuations.

Theorem 16 (Efrat [21]). *Assume that $\text{char}(K) \neq \ell$, $-1 \in (K^\times)^\ell$, and that*

$$\wedge^2(K^\times/(K^\times)^\ell) \xrightarrow{\sim} K_2^M(K)/\ell.$$

Then there exists a valuation ν on K such that

- $\text{char}(\mathbf{K}_\nu) \neq \ell$;
- $\dim_{\mathbb{F}_\ell}(\Gamma_\nu/\ell) \geq \dim_{\mathbb{F}_\ell}(K^\times/(K^\times)^\ell) - 1$;
- either $\dim_{\mathbb{F}_\ell}(\Gamma_\nu/\ell) = \dim_{\mathbb{F}_\ell}(K^\times/(K^\times)^\ell)$ or $\mathbf{K}_\nu \neq \mathbf{K}_\nu^\ell$.

In our terminology, under the assumption that K contains an algebraically closed subfield k and $\ell \neq 2$, the conditions mean that G_K^a modulo ℓ is liftable, i.e., $G_K^c = G_K^a$. Thus there exists a valuation with abelianized inertia subgroup (modulo ℓ) of corank at most one, by Corollary 14. The third assumption distinguishes the two cases, when the corank is zero versus one. In the latter case, the residue field \mathbf{K}_ν has nontrivial ℓ -extensions, hence satisfies $\mathbf{K}_\nu^\times \neq (\mathbf{K}_\nu^\times)^\ell$.

Theorem 17 (Engler–Königsmann [22]/Engler–Nogueira, $\ell = 2$ [23]). *Let K be a field of characteristic $\neq \ell$ containing the roots of unity of order ℓ . Then K admits an ℓ -Henselian valuation ν (i.e., ν extends uniquely to the maximal Galois ℓ -extension of K) with $\text{char}(\mathbf{K}_\nu) \neq \ell$ and non- ℓ -divisible Γ_ν if and only if \mathcal{G}_K is noncyclic and contains a nontrivial normal abelian subgroup.*

Again, under the assumption that K contains an algebraically closed field k , of characteristic $\neq \ell$, we can directly relate this result to our Theorem 13 and Corollary 14 as follows: The presence of an abelian normal subgroup in \mathcal{G}_K means that modulo ℓ^n there is a nontrivial center. Thus there is a valuation ν such that $\mathcal{G}_K = \mathcal{D}_\nu$, the corresponding decomposition group. Note that the inertia subgroup $\mathcal{I}_\nu \subset \mathcal{G}_K$ maps injectively into \mathcal{I}_ν^a .

We now sketch the proof of Theorem 13. Reformulating the claim, we see that the goal is to produce a *flag map* on $\mathbb{P}_k(K)$. Such a map ι jumps only on projective subspaces of $\mathbb{P}_k(K)$, i.e., every finite dimensional projective space $\mathbb{P}^n \subset \mathbb{P}_k(K)$ should admit a flag by projective subspaces

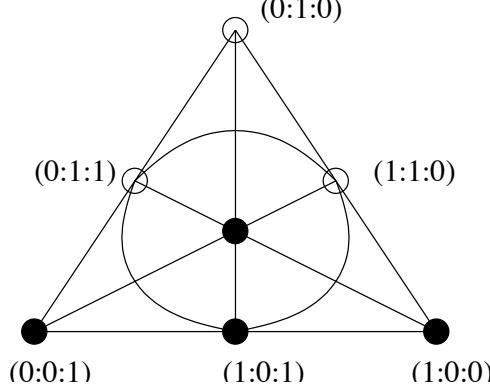
$$\mathbb{P}^n \supset \mathbb{P}^{n-1} \supset \dots$$

such that ι is constant on $\mathbb{P}^r(k) \setminus \mathbb{P}^{r-1}(k)$, for all r . Indeed, a flag map defines a partial order on K^\times which is preserved under shifts by multiplication in K^\times/k^\times , hence a scale of k -subspaces parametrized by some ordered abelian group Γ .

We proceed by contradiction. Assuming that the R -span $\sigma := \langle \gamma, \gamma' \rangle$ does not contain a flag map we find a distinguished $\mathbb{P}^2 \subset \mathbb{P}_k(K)$ such that σ contains no maps which would be flag maps on this \mathbb{P}^2 (this uses that $\#k \geq 11$). To simplify the exposition, assume now that $k = \mathbb{F}_p$.

Step 1. If $p > 3$ then $\alpha : \mathbb{P}^2(\mathbb{F}_p) \rightarrow R$ is a flag map iff the restriction to *every* $\mathbb{P}^1(\mathbb{F}_p) \subset \mathbb{P}^2(\mathbb{F}_p)$ is a flag map, i.e., constant on the complement of one point.

A counterexample for $p = 2$ and $R = \mathbb{Z}/2$ is provided by the Fano plane:



Step 2. On the other hand, assumptions (1) and (2) imply that the map

$$\begin{array}{ccc} K^\times/k^\times = \mathbb{P}_k(K) & \xrightarrow{\varphi} & \mathbb{A}^2(R) \\ f & \mapsto & (\gamma(f), \gamma'(f)) \end{array}$$

maps every *projective line* into an *affine line*, a collineation. This imposes strong conditions on $\varphi = \varphi_{\gamma, \gamma'}$ and both γ, γ' . For example, for all $\mathbb{P}^2 \subset \mathbb{P}_k(K)$ the image $\varphi(\mathbb{P}^2)$ is contained in a union of an affine line and at most one extra point in $\mathbb{A}^2(R)$.

Step 3. At this stage we are working with maps

$$\mathbb{P}^2(\mathbb{F}_p) \rightarrow \mathbb{A}^2(R),$$

preserving the geometries as above. Using Step 2 we may even reduce to considerations of maps with image consisting of 3 points:

$$\mathbb{P}^2(\mathbb{F}_p) \rightarrow \{\bullet, \circ, \star\}$$

and such that every line $\mathbb{P}^1(\mathbb{F}_p) \subset \mathbb{P}^2(\mathbb{F}_p)$ is mapped to exactly two points. Projective/affine geometry considerations produce a *flag map* in the R -linear span of γ, γ' , contradicting the assumption.

The case of $\text{char}(K) = 0$ is more complicated (see [9]).

5. PRO- ℓ -GEOMETRY

One of the main advantages in working with function fields K as opposed to arbitrary fields is the existence of normal *models*, i.e., algebraic varieties X with $K = k(X)$, and a *divisor* theory on X . Divisors on these models give rise to a rich supply of valuations of K , and we can employ geometric considerations in the study of relations between them.

We now assume that $k = \bar{\mathbb{F}}_p$, with $p \neq \ell$. Let $\text{Div}(X)$ be the group of (locally principal) Weil divisors of X and $\text{Pic}(X)$ the Picard group. The exact sequence

$$(5.1) \quad 0 \rightarrow K^\times/k^\times \xrightarrow{\text{div}_X} \text{Div}(X) \xrightarrow{\varphi} \text{Pic}(X) \rightarrow 0,$$

allows us to connect functions $f \in K^\times$ to divisorial valuations, realized by irreducible divisors on X .

We need to work simultaneously with two functors on \mathbb{Z} -modules of possibly infinite rank:

$$M \mapsto M_\ell := M \otimes \mathbb{Z}_\ell \quad \text{and} \quad M \mapsto \hat{M} := \varprojlim M \otimes \mathbb{Z}/\ell^n.$$

Some difficulties arise from the fact that these are “the same” at each finite level, $(\text{mod } \ell^n)$. We now recall these issues for functions, divisors, and Picard groups of normal projective models of function fields (see [10, Section 11] for more details).

Equation (5.1) gives rise to an exact sequence

$$(5.2) \quad 0 \rightarrow K^\times/k^\times \otimes \mathbb{Z}_\ell \xrightarrow{\text{div}_X} \text{Div}^0(X)_\ell \xrightarrow{\varphi_\ell} \text{Pic}^0(X)\{\ell\} \rightarrow 0.$$

where

$$\text{Pic}^0(X)\{\ell\} = \text{Pic}^0(X) \otimes \mathbb{Z}_\ell$$

is the ℓ -primary component of the torsion group of $k = \bar{\mathbb{F}}_p$ -points of $\text{Pic}^0(X)$, the algebraic group parametrizing classes of algebraically equivalent divisors modulo rational equivalence. Put

$$\mathcal{T}_\ell(X) := \varprojlim \text{Tor}_1(\mathbb{Z}/\ell^n, \text{Pic}^0(X)\{\ell\}).$$

We have $\mathcal{T}_\ell(X) \simeq \mathbb{Z}_\ell^{2g}$, where g is the dimension of $\text{Pic}^0(X)$. In fact, \mathcal{T}_ℓ is a contravariant functor, which stabilizes on some normal projective model X , i.e., $\mathcal{T}_\ell(\tilde{X}) = \mathcal{T}_\ell(X)$ for all \tilde{X} surjecting onto X . In the sequel, we will implicitly work with such X and we write $\mathcal{T}_\ell(K)$.

Passing to pro- ℓ -completions in (5.2) we obtain an exact sequence:

$$(5.3) \quad 0 \rightarrow \mathcal{T}_\ell(K) \rightarrow \hat{K}^\times \xrightarrow{\text{div}_X} \widehat{\text{Div}}^0(X) \rightarrow 0,$$

since $\text{Pic}^0(X)$ is an ℓ -divisible group. Note that all groups in this sequence are torsion-free. We have a diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & K^\times / k^\times \otimes \mathbb{Z}_\ell & \xrightarrow{\text{div}_X} & \text{Div}^0(X)_\ell & \xrightarrow{\varphi_\ell} & \text{Pic}^0(X)\{\ell\} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{T}_\ell(K) & \longrightarrow & \hat{K}^\times & \xrightarrow{\text{div}_X} & \widehat{\text{Div}}^0(X) \longrightarrow 0 \end{array}$$

Galois theory allows to “reconstruct” the second row of this diagram. The reconstruction of fields requires the first row. The passage from the second to the first employs the theory of valuations. Every $\nu \in \mathcal{DV}_K$ gives rise to a homomorphism

$$\nu : \hat{K}^\times \rightarrow \mathbb{Z}_\ell.$$

On a normal model X , where $\nu = \nu_D$ for some divisor $D \subset X$, $\nu(\hat{f})$ is the ℓ -adic coefficient at D of $\text{div}_X(\hat{f})$. “Functions”, i.e., elements $f \in K^\times$, have *finite support* on models X of K , i.e., only finitely many coefficients $\nu(f)$ are nonzero. However, the passage to blowups of X introduces more and more divisors (divisorial valuations) in the support of f . The strategy in [10], specific to dimension two, was to extract elements of \hat{K}^\times with *intrinsically* finite support, using the interplay between one-dimensional subfields $E \subset K$, i.e., projections of X onto curves, and divisors of X , i.e., curves $C \subset X$. For example, Galois theory allows to distinguish valuations ν corresponding to *rational* and *nonrational* curves on X . If X had only *finitely many* rational curves, then every blowup $\tilde{X} \rightarrow X$ would have the same property. Thus elements $\hat{f} \in \hat{K}^\times$ with finite *nonrational* support, i.e., $\nu(f) = 0$ for all but finitely many nonrational ν , have necessarily finite support on every model X of K , and thus have a chance of being functions. A different geometric argument applies when X admits a fibration over a curve of genus ≥ 1 , with rational generic fiber. The most difficult case to treat, surprisingly, is the case of rational surfaces. See Section 12 of [10] for more details.

The proof of Theorem 2 in [12] reduces to dimension two, via Lefschetz pencils.

6. PRO- ℓ -K-THEORY

Let k be an algebraically closed field of characteristic $\neq \ell$ and X a smooth projective variety over k , with function field $K = k(X)$. A natural generalization of (5.1) is the Gersten sequence (see, e.g., [56]):

$$0 \rightarrow K_2(X) \rightarrow K_2(K) \rightarrow \bigoplus_{x \in X_1} K_1(k(x)) \rightarrow \bigoplus_{x \in X_2} \mathbb{Z} \rightarrow \mathrm{CH}^2(X) \rightarrow 0,$$

where X_d is the set of points of X of codimension d and $\mathrm{CH}^2(X)$ is the second Chow group of X . Applying the functor

$$M \mapsto M^\vee := \mathrm{Hom}(M, \mathbb{Z}_\ell)$$

and using the duality

$$\mathcal{G}_K^a = \mathrm{Hom}(K^\times, \mathbb{Z}_\ell)$$

we obtain a sequence

$$K_2(X)^\vee \longleftarrow K_2(K)^\vee \longleftarrow \prod_{D \subset X} \mathcal{G}_{k(D)}^a$$

Dualizing the sequence

$$0 \rightarrow I_K \rightarrow \wedge^2(K^\times) \rightarrow K_2(K) \rightarrow 0$$

we obtain

$$I_K^\vee \leftarrow \wedge^2(\mathcal{G}_K^a) \leftarrow K_2(K)^\vee \leftarrow 0$$

On the other hand, we have the following exact sequences:

$$0 \rightarrow Z_K \rightarrow \mathcal{G}_K^c \rightarrow \mathcal{G}_K^a \rightarrow 0$$

and the resolution of $Z_K = [\mathcal{G}_K^c, \mathcal{G}_K^c]$

$$0 \rightarrow R(K) \rightarrow \wedge^2(\mathcal{G}_K^a) \rightarrow Z_K \rightarrow 0.$$

Recall that $\mathcal{G}_K^a = \mathrm{Hom}(K^\times/k^\times, \mathbb{Z}_\ell)$ is a torsion-free \mathbb{Z}_ℓ -module, with topology induced from the discrete topology on K^\times/k^\times . Thus any primitive finitely-generated subgroup $A \subset K^\times/k^\times$ is a direct summand and defines a continuous surjection $\mathcal{G}_K^a \rightarrow \mathrm{Hom}(A, \mathbb{Z}_\ell)$. The above topology on \mathcal{G}_K^a defines a natural topology on $\wedge^2(\mathcal{G}_K^a)$. On the other hand, we have a topological profinite group \mathcal{G}_K^c with topology induced by finite ℓ -extensions of K , which contains a closed abelian subgroup $Z_K = [\mathcal{G}_K^c, \mathcal{G}_K^c]$.

Proposition 18. [3] *We have*

$$R(K) = (\text{Hom}(K_2(K)/\text{Image}(k^\times \otimes K^\times), \mathbb{Z}_\ell) = K_2(K)^\vee.$$

Proof. There is *continuous* surjective homomorphism

$$\begin{aligned} \wedge^2(\mathcal{G}_K^a) &\rightarrow Z_K \\ \gamma \wedge \gamma' &\mapsto [\gamma, \gamma'] \end{aligned}$$

The kernel $R(K)$ is a profinite group with the induced topology. Any $r \in R(K)$ is trivial on symbols $(x, 1-x) \in \wedge^2(K^\times/k^\times)$ (since the corresponding elements are trivial in $H^2(\mathcal{G}_K^a, \mathbb{Z}/\ell^n)$, for all $n \in \mathbb{N}$). Thus $R(K) \subseteq K_2(K)^\vee$.

Conversely, let $\alpha \in K_2(K)^\vee \setminus R(K)$; so that it projects nontrivially to Z_K , i.e., to a nontrivial element modulo ℓ^n , for some $n \in \mathbb{N}$. Finite quotient groups of \mathcal{G}_K^c with $Z(G_i^c) = [G_i^c, G_i^c]$ form a basis of topology on \mathcal{G}_K^c . The induced surjective homomorphisms $\mathcal{G}_K^a \rightarrow G_i^a$ define surjections $\wedge^2(\mathcal{G}_K^a) \rightarrow [G_i, G_i]$ and

$$R(K) \rightarrow R_i := \text{Ker}(\wedge^2(G_i^a) \rightarrow [G_i, G_i]).$$

Fix a G_i such that α is nontrivial of G_i^c . Then the element α is nonzero in the image of $H^2(G_i^a, \mathbb{Z}/\ell^n) \rightarrow H^2(G_i^c, \mathbb{Z}/\ell^n)$. But this is incompatible with relations in $K_2(K)$, modulo ℓ^n . \square

It follows that $R(K)$ contains a distinguished \mathbb{Z}_ℓ -submodule

$$(6.1) \quad R_\wedge(K) = \text{Image of } \prod_{D \subset X} \mathcal{G}_{k(D)}^a$$

and that

$$K_2(X)^\vee \supseteq R(K)/R_\wedge(K).$$

In general, let

$$K_{2,nr}(K) = \text{Ker}(K_2(K) \rightarrow \bigoplus_{\nu \in \mathcal{DV}_K} K_\nu^\times)$$

be the *unramified* K_2 -group. Combining Proposition 18 and (6.1), we find that

$$\widehat{K_{2,nr}}(K) \subseteq \text{Hom}(R(K)/R_\wedge(K), \mathbb{Z}_\ell).$$

This sheds light on the connection between relations in \mathcal{G}_K^c and the K -theory of the field, more precisely, the unramified Brauer group of K . This in turn helps to reconstruct multiplicative groups of 1-dimensional subfields of K .

We now sketch a closely related, alternative strategy for the reconstruction of these subgroups of \hat{K}^\times from Galois-theoretic data. We have a diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{G}_K^c & \longrightarrow & \prod_E \mathcal{G}_K^c & \xrightarrow{\rho_E^c} & \mathcal{G}_E^c \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{G}_K^a & \longrightarrow & \prod_E \mathcal{G}_K^a & \xrightarrow{\rho_E^a} & \mathcal{G}_E^a \end{array}$$

where the product is taken over all normally closed 1-dimensional subfields $E \subset K$, equipped with the direct product topology, and the horizontal maps are closed embeddings. Note that \mathcal{G}_K^a is a primitive subgroup given by equations

$$\mathcal{G}_K^a = \{\gamma \mid (xy)(\gamma) - (x)(\gamma) - (y)(\gamma) = 0\} \subset \prod_E \mathcal{G}_E^a$$

where x, y are algebraically independent in K and $xy, x, y \in K^\times$ are considered as functionals on $\mathcal{G}_{k(xy)}^a, \mathcal{G}_{k(x)}^a, \mathcal{G}_{k(y)}^a$, respectively. The central subgroup

$$Z_K \subset \mathcal{G}_K^c \subset \prod_E \wedge^2(\mathcal{G}_E^a)$$

is the image of $\wedge^2(\mathcal{G}_K^a)$ in $\prod_E \wedge^2(\mathcal{G}_E^a)$. Thus for any finite quotient ℓ -group G of \mathcal{G}_K^c there is an intermediate quotient which is a subgroup of finite index in the product of free central extensions. The following fundamental conjecture lies at the core of our approach.

Conjecture 19. Let K be a function field over $\bar{\mathbb{F}}_p$, with $p \neq \ell$, F^a a torsion-free topological \mathbb{Z}_ℓ -module of infinite rank. Assume that

$$\Psi_F^a : \mathcal{G}_K^a \rightarrow F^a$$

is a continuous surjective homomorphism such that

$$\text{rk}_{\mathbb{Z}_\ell}(\Psi_F^a(\sigma)) \leq 1$$

for all liftable subgroups $\sigma \in \Sigma_K$. Then there exist a 1-dimensional subfield $E \subset K$, a subgroup $\tilde{F}^a \subset F^a$ of finite corank, and a diagram

$$\begin{array}{ccc}
& \mathcal{G}_K^a & \\
\swarrow & & \searrow \\
\mathcal{G}_E^a & \xrightarrow{\quad} & \tilde{F}^a
\end{array}$$

We expect that $\tilde{F}^a = F^a$, when $\pi_1(X)$ is finite. Note that there can exist at most one normally closed subfield $E \subset F$ satisfying this property.

The intuition behind this conjecture is that such maps should arise from surjective homomorphisms onto free central extensions, i.e., we should be able to factor as follows:

$$\Psi_F^c = \mathcal{G}_K^c \xrightarrow{\rho_F^c} \mathcal{G}_F^c \rightarrow F^c$$

where F^c is a free central extension of F^a :

$$0 \rightarrow \wedge^2(F^a) \rightarrow F^c \rightarrow F^a \rightarrow 0.$$

We can prove the conjecture under some additional geometric assumptions. Assuming the conjecture, the proofs in [10], [12] would become much more straightforward. Indeed, consider the diagram

$$\begin{array}{ccc}
\mathcal{G}_K^a & \xrightarrow{\sim} & \mathcal{G}_L^a \\
& & \downarrow \\
& & \mathcal{G}_F^a
\end{array}$$

Applying Conjecture 19 we find a unique normally closed subfield $E \subset K$ and a canonical isomorphism

$$\Psi: \mathcal{G}_E^a \rightarrow \mathcal{G}_F^a, \quad F \subset L,$$

Moreover, this map gives a bijection between the set of inertia subgroups of divisorial valuations on E and of F ; these are the images of inertia subgroups of divisorial valuations on K and L . At this stage, the simple rationality argument (see [10, Proposition 13.1 and Corollary 15.6]) implies that

$$\Psi^*: \hat{L}^\times \xrightarrow{\sim} \hat{K}^\times$$

induces an isomorphism

$$L^\times/l^\times \otimes \mathbb{Z}_{(\ell)} \xrightarrow{\sim} \epsilon(K^\times/k^\times \otimes \mathbb{Z}_{(\ell)}),$$

for some $\epsilon \in \mathbb{Z}_\ell^\times$, respecting multiplicative subgroups of 1-dimensional subfields. Moreover, for each 1-dimensional rational subfield $l(y) \subset L$ we obtain

$$\Psi^*(l(y)^\times/l^\times) = \epsilon \cdot \epsilon_y \cdot (k(x)^\times/k^\times)$$

for some $\epsilon_y \in \mathbb{Q}$. Proposition 2.13 in [10] shows that this implies the existence of subfields \bar{L} and \bar{K} such that L/\bar{L} and K/\bar{K} are purely inseparable extensions and such that $\epsilon^{-1} \cdot \Psi^*$ induces an *isomorphism* of multiplicative groups

$$\mathbb{P}(\bar{L}) = \bar{L}^\times/l^\times \xrightarrow{\sim} \mathbb{P}(\bar{K}) = \bar{K}^\times/k^\times.$$

Moreover, this isomorphism maps lines $\mathbb{P}^1 \subset \mathbb{P}(l(y))$ to lines $\mathbb{P}^1 \subset \mathbb{P}(k(x))$. Arguments similar to those in Section 2 allow us to show that Ψ^* induces an bijection of the sets of all *projective lines* of the projective structures. The Fundamental theorem of projective geometry (Theorem 5) allows to match the additive structures and leads to an isomorphism of fields.

The proof of Theorem 2 in [10] is given for the case of the fields of transcendence degree two. However, the general case immediately follows by applying Theorem 5 from Section 1 (or [12]). Indeed, it suffices to show that for all $x, y \in L^\times/l^\times$

$$\Psi^*(l(x, y)^\times/l^\times) \subset \overline{k(x, y)}^\times/k^\times \otimes \mathbb{Z}_{(\ell)} \subset K^\times/k^\times \otimes \mathbb{Z}_{(\ell)}.$$

Note that the groups $\overline{l(x)}^\times/l^\times$ map into subgroups $\overline{k(x)}^\times/k^\times \times \mathbb{Z}_{(\ell)}$ since Ψ^* satisfies the conditions of [12, Lemma 26], i.e., the symbol

$$(\Psi^*(y), \Psi^*(z)) \in K_2^M(K) \otimes \mathbb{Z}_\ell$$

is infinitely ℓ -divisible, for any $y, z \in \overline{l(x)}^\times/l^\times$. Thus

$$\Psi^*(\overline{l(x/y)}^\times) \in \overline{k(x, y)}^\times/k^\times \otimes \mathbb{Z}_{(\ell)}$$

and similarly for $\Psi^*(\overline{l(x+by)}^\times)/l^\times$, $b \in l$, since by multiplicativity

$$\Psi^*(\overline{l(x+y)}^\times/l^\times) \subset \cup_n (y^n \cdot \Psi^*(\overline{l(x/y+b)}^\times/l^\times)) = \cup_n (y^n \cdot \Psi^*(\overline{l(x/y)}^\times/l^\times)).$$

Thus

$$\Psi^*(x/y)/l^\times, \Psi^*(x+y)/l^\times \in \overline{k(x, y)}^\times/k^\times \otimes \mathbb{Z}_{(\ell)},$$

so that Theorem 2, for fields of arbitrary transcendence degree, follows from the result for transcendence degree two.

7. GROUP THEORY

Our intuition in Galois theory and Galois cohomology is based on the study of *finite* covers and *finite* groups. Our goal is to recover fields or some of their invariants from invariants of their absolute Galois groups and their quotients.

In this section, we study some group-theoretic constructions which appear, in disguise, in the study of function fields. Let G be a finite group. We have

$$G^c = G/[[G, G], G], \quad G^a = G/[G, G].$$

Let

$$B_0(G) := \text{Ker} \left(H^2(G, \mathbb{Q}/\mathbb{Z}) \rightarrow \prod_B H^2(B, \mathbb{Q}/\mathbb{Z}) \right)$$

be the subgroup of those Schur multipliers which restrict trivially to all bicyclic subgroups $B \subset G$. The first author conjectured in [5] that

$$B_0(G) = 0$$

for all finite simple groups. Some special cases were proved in [13], and the general case was settled [34].

In computations of this group it is useful to keep in mind the following diagram

$$\begin{array}{ccc} B_0(G^c) & & H^2(G^a) \\ \downarrow & & \downarrow \\ H^2(G^c) & \xlongequal{\quad} & H^2(G^c) \longrightarrow H^2(G) \\ \downarrow & & \downarrow \\ \prod_{B \subset G^c} H^2(B) & = & \prod_{B \subset G^c} H^2(B) \twoheadrightarrow \prod_{B \subset G} H^2(B). \end{array}$$

Thus we have a homomorphism

$$B_0(G^c) \rightarrow B_0(G).$$

We also have an isomorphism

$$\text{Ker} (H^2(G^a, \mathbb{Q}/\mathbb{Z}) \rightarrow H^2(G, \mathbb{Q}/\mathbb{Z})) = \text{Ker} (H^2(G^a, \mathbb{Q}/\mathbb{Z}) \rightarrow H^2(G^c, \mathbb{Q}/\mathbb{Z}))$$

Combining with the fact that $B_0(G^c)$ is in the image of

$$\pi_a^*: H^2(G^a, \mathbb{Q}/\mathbb{Z}) \rightarrow H^2(G, \mathbb{Q}/\mathbb{Z})$$

this implies that

$$(7.1) \quad B_0(G^c) \hookrightarrow B_0(G).$$

Let ℓ be a prime number. We write G_ℓ for the maximal ℓ -quotient of G and fix an ℓ -Sylow subgroup $Syl_\ell(G) \subset G$, all considerations below are independent of the conjugacy class. We have a diagram

$$\begin{array}{ccccccc} G & \longrightarrow & G^c & \longrightarrow & G^a \\ \downarrow & & \downarrow & & \downarrow \\ Syl_\ell(G) & \longrightarrow & G_\ell & \longrightarrow & G_\ell^c & \longrightarrow & G_\ell^a \end{array}$$

Note that

$$G_\ell^c = Syl_\ell(G^c), \quad \text{and} \quad G_\ell^a = Syl_\ell(G^a),$$

but that, in general, $Syl_\ell(G)$ is much bigger than G_ℓ .

We keep the same notation when working with pro- ℓ -groups.

Proposition 20. [7] *Let X be a projective algebraic variety of dimension n over a field k . Assume that $X(k)$ contains a smooth point. Then*

$$Syl_\ell(G_{k(X)}) = Syl_\ell(G_{k(\mathbb{P}^n)}).$$

Proof. First of all, let X and Y be algebraic varieties over a field k with function fields $K = k(X)$, resp. $L = k(Y)$. Let $X \rightarrow Y$ be a map of degree d and ℓ a prime not dividing d and $\text{char}(k)$. Then

$$Syl_\ell(G_K) = Syl_\ell(G_L).$$

Let $X \rightarrow \mathbb{P}^{n+1}$ be a birational embedding as a (singular) hypersurface of degree d' . Consider two projections onto \mathbb{P}^n : the first, π_x from a smooth point x in the image of X and the second, π_y , from a point y in the complement of X in \mathbb{P}^{n+1} . We have $\deg(\pi_y) = d'$ and $\deg(\pi_y) - \deg(\pi_x) = 1$, in particular, one of these degrees is coprime to ℓ . The proposition follows from the first step. \square

Remark 21. This shows that the full Galois group G_K is, in some sense, *too large*: the isomorphism classes of its ℓ -Sylow subgroups depend only

on the dimension and the ground field. We may write

$$\mathrm{Syl}_\ell(G_K) = \mathrm{Syl}_{\ell,n,k}.$$

In particular, they *do not* determine the function field. However, the maximal pro- ℓ -quotients do [35], [43]. Thus we have a surjection from a *universal* group, depending only on the dimension and ground field k , onto a *highly individual* group \mathcal{G}_K^c , which by Theorem 2 determines the field K , for $k = \bar{\mathbb{F}}_p$, $\ell \neq p$, and $n \geq 2$.

The argument shows in particular that the group $\mathrm{Syl}_{\ell,k,n}$ belongs to the class of self-similar groups. Namely any open subgroup of finite index in $\mathrm{Syl}_{\ell,k,n}$ is isomorphic to $\mathrm{Syl}_{\ell,k,n}$. The above construction provides with isomorphisms parametrized by smooth k -points of n -dimensional algebraic varieties. Note that the absence of smooth k -points in K may lead to a nonisomorphic group $\mathrm{Syl}_{\ell,k,n}$, as seen already in the example of a conic C over $k = \mathbb{R}$ with $C(\mathbb{R}) = \emptyset$ [7].

Theorem 22. [3, Thm. 13.2] *Let G_K be the Galois group of a function field $K = k(X)$ over an algebraically closed ground field k . Then, for all $\ell \neq \mathrm{char}(k)$ we have*

$$\mathrm{B}_{0,\ell}(G_K) = \mathrm{B}_0(\mathcal{G}_K^c).$$

Here is a sample of known facts:

- if X is stably rational over k , then

$$\mathrm{B}_0(G_K) = 0;$$

- if $X = V/G$, where V is a faithful representation of G over an algebraically closed field of characteristic coprime to the order of G , and $K = k(X)$, then

$$\mathrm{B}_0(G) = \mathrm{B}_0(G_K),$$

thus nonzero in many cases.

Already this shows that the groups G_K are quite special. The following “Freeness conjecture” is related to the Bloch–Kato conjecture discussed in Section 3; it would imply that all cohomology of G_K is induced from metabelian finite ℓ -groups.

Conjecture 23 (Bogomolov). For $K = k(X)$, with k algebraically closed of characteristic $\neq \ell$, let

$$\mathrm{Syl}_{\ell,n,k}^{(2)} = [\mathrm{Syl}_{\ell,n,k}, \mathrm{Syl}_{\ell,n,k}],$$

and let M be a finite $\text{Syl}_{\ell,n,k}^{(2)}$ -module. Then

$$H^i(\text{Syl}_{\ell,n,k}^{(2)}, M) = 0, \quad \text{for all } i \geq 2.$$

Further discussions in this direction, in particular, concerning the connections between the Bloch–Kato conjecture, “Freeness”, and the Koszul property of the algebra $K_*^M(K)/\ell$, can be found in [46] and [47].

8. STABILIZATION

The varieties V/G considered in the Introduction seem very special. On the other hand, let X be any variety over a field k and let

$$G_{k(X)} \rightarrow G$$

be a continuous homomorphism from its Galois group onto some *finite* group. Let V be a faithful representation of G . Then we have two homomorphisms (for cohomology with finite coefficients and trivial action)

$$\kappa_X: H^*(G) \rightarrow H^*(G_{k(X)})$$

and

$$\kappa_{V/G}: H^*(G) \rightarrow H^*(G_{k(V/G)}).$$

These satisfy

- $\text{Ker}(\kappa_{V/G}) \subseteq H^*(G)$ is independent of V , and the quotient

$$H_s^*(G) := H^*(G)/\text{Ker}(\kappa_{V/G})$$

is well-defined;

- $\text{Ker}(\kappa_{V/G}) \subseteq \text{Ker}(\kappa_X)$.

The groups $H_s^i(G)$ are called *stable* cohomology groups of G . They were introduced and studied by the first author in [5]. *A priori*, these groups depend on the ground field k . We get a surjective homomorphism

$$H_s^*(G) \rightarrow H^*(G)/\text{Ker}(\kappa_X).$$

This explains the interest in stable cohomology—all group-cohomological invariants arising from finite quotients of $G_{k(X)}$ arise from similar invariants of V/G . On the other hand, there is no effective procedure for the computation of stable cohomology, except in special cases. For example, for abelian groups the stabilization can be described already on the group level:

Proposition 24 (see, e.g., [5]). *Let G be a finite abelian group and $\sigma : \mathbb{Z}^m \rightarrow G$ a surjective homomorphism. Then $\kappa^* : H^*(G) \rightarrow H^*(\mathbb{Z}^m)$ coincides with the stabilization map, i.e.,*

$$\text{Ker}(\kappa^*) = \text{Ker}(\kappa_{V/G})$$

for any faithful representation V of G , for arbitrary ground fields k with $\text{char}(k)$ coprime to the order of G .

Geometrically, stabilization is achieved on the variety $T/G \subset V/G$, where G acts faithfully on V by diagonal matrices and $T \subset V$ is a G -invariant subtorus in V (see, e.g., [6]).

Similar actions exist for any finite group G : there is faithful representation V and a torus $T \subset \text{Aut}(V)$, with normalizer $N = N(T)$ such that $G \subset N \subset \text{Aut}(V)$, and such that G acts *freely* on T . We have an exact sequence

$$1 \rightarrow \pi_1(T) \rightarrow \pi_1(T/G) \rightarrow G \rightarrow 1$$

of topological fundamental groups. Note that $\pi_1(T)$ decomposes as a sum of G -permutation modules and that $\pi_1(T/G)$ is torsion-free of cohomological dimension $\dim(T) = \dim(V)$. Torus actions were considered by Saltman [51], and the special case of actions coming from restrictions to open tori in linear representations by the first author in [6].

The following proposition, a consequence of the Bloch–Kato conjecture, describes a partial stabilization for central extensions of abelian groups.

Proposition 25. *Let G^c be a finite ℓ -group which is a central extension of an abelian group*

$$(8.1) \quad 0 \rightarrow Z \rightarrow G^c \rightarrow G^a \rightarrow 0, \quad Z = [G^c, G^c],$$

and $K = k(V/G^c)$. Let

$$\phi_a : \mathbb{Z}_\ell^m \rightarrow G^a$$

be a surjection and

$$0 \rightarrow Z \rightarrow D^c \rightarrow \mathbb{Z}_\ell^m \rightarrow 0$$

the central extension induced from (8.1). Then

$$\text{Ker}(H^*(G^a) \rightarrow H^*(D^c)) = \text{Ker}(H^*(G^a) \rightarrow H^*(G_K)),$$

for cohomology with \mathbb{Z}/ℓ^n -coefficients, $n \in \mathbb{N}$.

Proof. Since \mathcal{G}_K^a is a torsion-free \mathbb{Z}_ℓ -module we have a diagram

$$\begin{array}{ccccccc}
 G_K & \longrightarrow & \mathcal{G}_K^c & \longrightarrow & \mathcal{G}_K^a & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & Z & \longrightarrow & D^c & \longrightarrow & \mathbb{Z}_\ell^m & \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow \phi_a & \\
 0 & \longrightarrow & Z & \longrightarrow & G^c & \longrightarrow & G^a & \longrightarrow 0
 \end{array}$$

By Theorem 11,

$$\text{Ker}(\text{H}^*(G^a) \rightarrow \text{H}^*(G_K)) = \text{Ker}(\text{H}^*(G^a) \rightarrow \text{H}^*(\mathcal{G}_K^c)).$$

Note that

$$I := \text{Ker}(\text{H}^*(G^a) \rightarrow \text{H}^*(D^c))$$

is an ideal generated by its degree-two elements I_2 and that

$$I_2 = \text{Ker}(\text{H}^2(G^a) \rightarrow \text{H}^2(G^c)) \oplus \delta(\text{H}^1(G^a)).$$

Similarly, for all intermediate D^c

$$\text{Ker}(\text{H}^*(G^a) \rightarrow \text{H}^*(D^c))$$

is also generated by I_2 , and hence equals I . \square

Corollary 26. *Let G^c be a finite ℓ -group as above, $R \subseteq \wedge^2(G^a)$ the subgroup of relations defining D^c , and let*

$$\Sigma = \{\sigma_i \subset G^a\}$$

be the set of subgroups of G^a liftable to abelian subgroups of G^c . Then the image of $\text{H}^(G^a, \mathbb{Z}/\ell^n)$ in $\text{H}_s^*(G^c, \mathbb{Z}/\ell^n)$ coincides with $\wedge^*(G^a)^*/I_2$, where $I_2 \subseteq \wedge^2(G^a)$ are the elements orthogonal to R (with respect to the natural pairing).*

Lemma 27. *For any finite group G^c there is a torsion-free group \mathcal{G}^c with $\mathcal{G}^a = \mathbb{Z}_\ell^n$ and $[\mathcal{G}^c, \mathcal{G}^c] = \mathbb{Z}_\ell^m$ with a natural surjection $\mathcal{G}^c \rightarrow G^c$ and a natural embedding*

$$\text{Ker}(\text{H}^2(G^a) \rightarrow \text{H}^2(G^c)) = \text{Ker}(\text{H}^2(\mathcal{G}^a) \rightarrow \text{H}^2(\mathcal{G}^c)),$$

for cohomology with $\mathbb{Q}_\ell/\mathbb{Z}_\ell$ -coefficients.

Proof. Assume that we have a diagram of central extensions

$$\begin{array}{ccccccc}
0 & \longrightarrow & Z_{\mathcal{G}} & \longrightarrow & \mathcal{G}^c & \xrightarrow{\pi_{a,\mathcal{G}}} & \mathcal{G}^a & \longrightarrow 0 \\
& & \downarrow & & \pi_c \downarrow & & \parallel \\
0 & \longrightarrow & Z_{\mathcal{H}} & \longrightarrow & \mathcal{H}^c & \xrightarrow{\pi_{a,\mathcal{H}}} & \mathcal{H}^a & \longrightarrow 0
\end{array}$$

with $\mathcal{G}^a = \mathcal{H}^a$, $Z_{\mathcal{G}}$, and $Z_{\mathcal{H}}$ finite rank torsion-free \mathbb{Z}_{ℓ} -modules. Assume that

$$\text{Ker}(\pi_{a,\mathcal{H}}^*) := \text{Ker}(\text{H}^2(\mathcal{H}^a, \mathbb{Z}_{\ell}) \rightarrow \text{H}^2(\mathcal{H}^c, \mathbb{Z}_{\ell}))$$

coincides with

$$\text{Ker}(\pi_{a,\mathcal{G}}^*) := \text{Ker}(\text{H}^2(\mathcal{G}^a, \mathbb{Z}_{\ell}) \rightarrow \text{H}^2(\mathcal{G}^c, \mathbb{Z}_{\ell})).$$

Then there is a section

$$s : \mathcal{H}^c \rightarrow \mathcal{G}^c, \quad \pi^c \circ s = id.$$

Indeed, since $\mathcal{H}^a, \mathcal{G}^a$ are torsion-free \mathbb{Z}_{ℓ} -modules we have

$$\text{H}^2(\mathcal{H}^a, \mathbb{Z}_{\ell}) = \text{H}^2(\mathcal{H}^a, \mathbb{Z}_{\ell}) \pmod{\ell^n}, \quad \forall n \in \mathbb{N},$$

and $\text{H}^2(\mathcal{H}^a, \mathbb{Z}_{\ell})$ is a free \mathbb{Z}_{ℓ} -module. The groups $\mathcal{G}^c, \mathcal{H}^c$ are determined by the surjective homomorphisms

$$\wedge^2(\mathcal{H}^a) \rightarrow Z_{\mathcal{H}} = [\mathcal{H}^c, \mathcal{H}^c], \quad \wedge^2(\mathcal{G}^a) \rightarrow Z_{\mathcal{G}} = [\mathcal{G}^c, \mathcal{G}^c].$$

Since $Z_{\mathcal{H}}, Z_{\mathcal{G}}$ are free \mathbb{Z}_{ℓ} -modules, $\text{Ker}(Z_{\mathcal{G}} \rightarrow Z_{\mathcal{H}})$ is also a free \mathbb{Z}_{ℓ} -module. \square

Let G be a finite group, V a faithful representation of G over k and $K = k(V/G)$. We have a natural homomorphism $G_K \rightarrow G$. Every valuation $\nu \in \mathcal{V}_K$ defines a *residue* homomorphism

$$\text{H}_s^*(G, \mathbb{Z}/\ell^n) \hookrightarrow \text{H}^*(G_K, \mathbb{Z}/\ell^n) \xrightarrow{\delta_{\nu}} \text{H}^*(G_{K_{\nu}}, \mathbb{Z}/\ell^n),$$

and we define the stable *unramified* cohomology as the kernel of this homomorphism, over all divisorial valuations ν :

$$\text{H}_{s,nr}^*(G, \mathbb{Z}/\ell^n) = \{\alpha \in \text{H}_s^*(G, \mathbb{Z}/\ell^n) \mid \delta_{\nu}(\alpha) = 0 \quad \forall \nu \in \mathcal{D}\mathcal{V}_K\}.$$

Again, this is independent of the choice of V and is functorial in G . Fix an element $g \in G$. We say that $\alpha \in \text{H}_s^*(G, \mathbb{Z}/\ell^n)$ is g -unramified if the restriction of α to the centralizer $Z(g)$ of g in G is unramified (see [5] for more details).

Lemma 28. *Let G be a finite group of order coprime to $p = \text{char}(k)$. Then*

$$H_{s,nr}^*(G, \mathbb{Z}/\ell^n) \subseteq H_s^*(G, \mathbb{Z}/\ell^n)$$

is the subring of elements which are g -unramified for all $g \in G$.

Proof. We may assume that G is an ℓ -group, with ℓ coprime to $\text{char}(k)$. By functoriality, a class $\alpha \in H_{s,nr}^*(G, \mathbb{Z}/\ell^n)$ is also g -unramified.

Conversely, let $\nu \in \mathcal{DV}_K$ be a divisorial valuation and X a normal projective model of $K = k(V/G)$ such that ν is realized by a divisor $D \subset X$ and both D, X are smooth at the generic point of D . Let D^* be a formal neighborhood of this point. The map $V \rightarrow V/G$ defines a G -extension of the completion K_ν . Geometrically, this corresponds to a union of finite coverings of formal neighborhoods of D^* , since G has order coprime to p : the preimage of D^* in \bar{V} is a finite union of smooth formal neighborhoods D_i^* of irreducible divisors $D_i \subset \bar{V}$. If the covering $\pi_i : D_i^* \rightarrow D$ is unramified at the generic point of D_i then $\delta_\nu(\alpha) = 0$. On the other hand, if there is ramification, then there is a $g \in G$ which acts trivially on some D_i , and we may assume that g is a generator of a cyclic subgroup acting trivially on D_i . Consider the subgroup of G which preserves D_i and acts linearly on the normal bundle of D_i . This group is a subgroup of $Z(g)$; hence there is a $Z(g)$ -equivariant map $D_i^* \rightarrow V$ for some faithful linear representation of $Z(g)$ such that α on $D_i^*/Z(g)$ is induced from $V/Z(g)$. In particular, if $\alpha \in H_{s,nr}^*(Z(g), \mathbb{Z}/\ell^n)$ then $\delta_\nu(\alpha) = 0$. Thus an element which is unramified for any $g \in G$ in $H_s^*(G, \mathbb{Z}/\ell^n)$ is unramified. \square

The considerations above allow to *linearize* the construction of *all* finite cohomological obstructions to rationality.

Corollary 29. *Let*

$$1 \rightarrow Z \rightarrow G^c \rightarrow G^a \rightarrow 1$$

be a central extension, $g \in G^a$ a nontrivial element, and \tilde{g} a lift of g to G^c . Then $Z(\tilde{g})$ is a sum of liftable abelian subgroups σ_i containing g .

Lemma 30. *An element in the image of $H^*(G^a, \mathbb{Z}/\ell^n) \subset H_{s,nr}^*(G^c, \mathbb{Z}/\ell^n)$ is \tilde{g} -unramified for a primitive element g if and only if its restriction to $Z(\tilde{g})$ is induced from $Z(\tilde{g})/\langle g \rangle$.*

Proof. One direction is clear. Conversely, $Z(\tilde{g})$ is a central extension of its abelian quotient. Hence the stabilization homomorphism coincides with the quotient by the ideal $IH_K(n)$ (see the proof of Theorem 11). \square

Corollary 31. *The subring $H_{s,nr}^*(G^a, \mathbb{Z}/\ell^n) \subset H_s^*(G^a, \mathbb{Z}/\ell^n)$ is defined by Σ , i.e., by the configuration of liftable subgroups σ_i .*

Such cohomological obstructions were considered by Colliot-Thélène and Ojanguren in [18], where they showed that unramified cohomology is an invariant under stable birational equivalence. In addition, they produced explicit examples of nontrivial obstructions in dimension 3. Subsequently, Peyre [40], [41] gave further examples with $n = 3$ and $n = 4$ (see also [52], [53]). Similarly to the examples with nontrivial $H_{nr}^2(G)$ in [2], one can construct examples with nontrivial higher cohomology using as the only input the combinatorics of the set of liftable subgroups $\Sigma = \Sigma(G^c)$ for suitable *central extensions* G^c . Since we are interested in function fields $K = k(V/G^c)$ with trivial $H_{nr}^2(K)$, we are looking for groups G^c with $R(G) = R_\wedge(G)$. Such examples can be found by working with analogs of *quaternionic* structures on linear spaces $G^a = \mathbb{F}_\ell^{4n}$, for $n \in \mathbb{N}$.

9. WHAT ABOUT CURVES?

In this section we focus on anabelian geometry of curves over finite fields. By Uchida's theorem (see Theorem 1), a curve over $k = \mathbb{F}_q$ is uniquely determined by its absolute Galois group. Recently, Saidi–Tamagawa proved the **Isom**-version of Grothendieck's conjecture for the prime-to-characteristic geometric fundamental (and absolute Galois) groups of hyperbolic curves [49] (generalizing results of Tamagawa and Mochizuki which dealt with the full groups). A **Hom**-form appears in their recent preprint [48]. The authors are interested in *rigid* homomorphisms of *full* and prime-to-characteristic Galois groups of function fields of curves. Modulo passage to open subgroups, a homomorphism

$$\Psi: G_K \rightarrow G_L$$

is called rigid if it preserves the *decomposition* subgroups, i.e., if for all $\nu \in \mathcal{DV}_K$

$$\Psi(D_\nu) = D_{\nu'},$$

for some $\nu' \in \mathcal{DV}_L$. The main result is that there is a bijection between *admissible* homomorphisms of fields and rigid homomorphisms of Galois groups

$$\text{Hom}^{\text{adm}}(L, K) \xrightarrow{\sim} \text{Hom}^{\text{rig}}(G_K, G_L) / \sim,$$

modulo conjugation (here *admissible* essentially means that the extension of function fields K/L is finite of degree coprime to the characteristic, see [48, p. 3] for a complete description of this notion).

Our work on higher-dimensional anabelian geometry led us to consider homomorphisms of Galois groups preserving *inertia* subgroups.

Theorem 32. [8] *Let $K = k(X)$ and $L = l(Y)$ be function fields of curves over algebraic closures of finite fields. Assume that $g(X) > 2$ and that*

$$\Psi: G_K^a \rightarrow G_L^a$$

is an isomorphism of abelianized absolute Galois groups such that for all $\nu \in \mathcal{DV}_K$ there exists a $\nu' \in \mathcal{DV}_L$ with

$$\Psi(I_\nu^a) = I_{\nu'}^a.$$

Then $k = l$ and the corresponding Jacobians are isogenous.

This theorem is a Galois-theoretic incarnation of a finite field version of the “Torelli” theorem for curves. Classically, the setup is as follows: let k be any field and C/k a smooth curve over k of genus $g(C) \geq 2$, with $C(k) \neq \emptyset$. For each $n \in \mathbb{N}$, let J^n be Jacobian of rational equivalence classes of degree n zero-cycles on C . Put $J^0 = J$. We have

$$C^n \longrightarrow \text{Sym}^n(C) \xrightarrow{\lambda_n} J^n$$

Choosing a point $c_0 \in C(k)$, we may identify $J^n = J$. The image $\text{Image}(\lambda_{g-1}) = \Theta \subset J$ is called the theta divisor. The Torelli theorem asserts that the pair (J, Θ) determines C , up to isomorphism.

Theorem 33. [8] *Let C, \tilde{C} be smooth projective curves of genus $g \geq 2$ over closures of finite fields k and \tilde{k} . Let*

$$\Psi: J(k) \xrightarrow{\sim} \tilde{J}(\tilde{k})$$

be an isomorphism of abelian groups inducing a bijection of sets

$$C(k) \leftrightarrow \tilde{C}(\tilde{k}).$$

Then $k = \tilde{k}$ and J is isogenous to \tilde{J} .

We expect that the curves C and \tilde{C} are isomorphic over \bar{k} .

Recall that

$$J(\bar{\mathbb{F}}_p) = p\text{-part} \oplus \bigoplus_{\ell \neq p} (\mathbb{Q}_\ell / \mathbb{Z}_\ell)^{2g}.$$

The main point of Theorem 33 is that the set $C(\bar{\mathbb{F}}_p) \subset J(\bar{\mathbb{F}}_p)$ *rigidifies* this very large torsion abelian group. Moreover, we have

Theorem 34. [8] *There exists an N , bounded effectively in terms of g , such that*

$$\Psi(\text{Fr})^N \quad \text{and} \quad \tilde{\text{Fr}}^N$$

(the respective Frobenius) commute, as automorphisms of $\tilde{J}(\tilde{k})$.

In some cases, we can prove that the curves C and \tilde{C} are actually isomorphic, as algebraic curves. Could Theorem 33 hold with k and \tilde{k} replaced by \mathbb{C} ? Such an isomorphism Ψ matches all “special” points and linear systems of the curves. Thus the problem may be amenable to techniques developed in [31], where an algebraic curve is reconstructed from an abstract “Zariski geometry” (*ibid.*, Proposition 1.1), analogously to the reconstruction of projective spaces from an “abstract projective geometry” in Section 1.

The proof of Theorem 33 has as its starting point the following sufficient condition for the existence of an isogeny:

Theorem 35 ([8], [15]). *Let A and \tilde{A} be abelian varieties of dimension g over finite fields k_1 , resp. \tilde{k}_1 (of sufficiently divisible cardinality). Let k_n/k_1 , resp. \tilde{k}_n/\tilde{k}_1 , be the unique extensions of degree n . Assume that*

$$\#A(k_n) \mid \#\tilde{A}(\tilde{k}_n)$$

for infinitely many $n \in \mathbb{N}$. Then $\text{char}(k) = \text{char}(\tilde{k})$ and A and \tilde{A} are isogenous over \bar{k} .

The proof of this result is based on the theorem of Tate:

$$\text{Hom}(A, \tilde{A}) \otimes \mathbb{Z}_\ell = \text{Hom}_{\mathbb{Z}_\ell[\text{Fr}]}(T_\ell(A), T_\ell(\tilde{A}))$$

and the following, seemingly unrelated, theorem concerning divisibilities of values of *recurrence sequences*.

Recall that a *linear recurrence* is a map $R : \mathbb{N} \rightarrow \mathbb{C}$ such that

$$R(n+r) = \sum_{i=0}^{r-1} a_i R(n+i),$$

for some $a_i \in \mathbb{C}$ and all $n \in \mathbb{N}$. Equivalently,

$$(9.1) \quad R(n) = \sum_{\gamma \in \Gamma^0} c_\gamma(n) \gamma^n,$$

where $c_\gamma \in \mathbb{C}[x]$ and $\Gamma^0 \subset \mathbb{C}^\times$ is a finite set of *roots* of R . Throughout, we need only *simple* recurrences, i.e., those where the *characteristic polynomial* of R has no multiple roots so that $c_\gamma \in \mathbb{C}^\times$, for all $\gamma \in \Gamma^0$. Let $\Gamma \subset \mathbb{C}^\times$ be the group generated by Γ^0 . In our applications we may assume that it is torsion-free. Then there is an isomorphism of rings

$$\{\text{Simple recurrences with roots in } \Gamma\} \Leftrightarrow \mathbb{C}[\Gamma],$$

where $\mathbb{C}[\Gamma]$ is the ring of Laurent polynomials with exponents in the finite-rank \mathbb{Z} -module Γ . The map

$$R \mapsto F_R \in \mathbb{C}[\Gamma]$$

is given by

$$R \mapsto F_R := \sum_{\gamma \in \Gamma^0} c_\gamma x^\gamma.$$

Theorem 36 (Corvaja–Zannier [20]). *Let R and \tilde{R} be simple linear recurrences such that*

- (1) $R(n), \tilde{R}(\tilde{n}) \neq 0$, for all $n, \tilde{n} \gg 0$;
- (2) *the subgroup $\Gamma \subset \mathbb{C}^\times$ generated by the roots of R and \tilde{R} is torsion-free;*
- (3) *there is a finitely-generated subring $\mathfrak{A} \subset \mathbb{C}$ with $R(n)/\tilde{R}(n) \in \mathfrak{A}$, for infinitely many $n \in \mathbb{N}$.*

Then

$$\begin{aligned} Q : \mathbb{N} &\rightarrow \mathbb{C} \\ n &\mapsto R(n)/\tilde{R}(n) \end{aligned}$$

is a simple linear recurrence. In particular, $F_Q \in \mathbb{C}[\Gamma]$ and

$$F_Q \cdot F_{\tilde{R}} = F_R.$$

This very useful theorem concerning divisibilities is actually an application of a known case of the Lang–Vojta conjecture concerning nondensity of integral points on “hyperbolic” varieties, i.e., quasi-projective varieties of log-general type. In this case, one is interested in subvarieties of algebraic tori and the needed result is *Schmidt’s subspace theorem*. Other applications of this result to integral points and diophantine approximation are discussed in [1], and connections to Vojta’s conjecture in [54], [55].

A rich source of interesting simple linear recurrences is geometry over finite fields. Let X be a smooth projective variety over $k_1 = \mathbb{F}_q$ of dimension d , $\bar{X} = X \times_{k_1} \bar{k}_1$, and let k_n/k_1 be the unique extension of degree n . Then

$$\#X(k_n) := \text{tr}(\text{Fr}^n) = \sum_{i=0}^{2d} (-1)^i c_{ij} \alpha_{ij}^n,$$

where Fr is Frobenius acting on étale cohomology $H_{et}^*(\bar{X}, \mathbb{Q}_\ell)$, with $\ell \nmid q$, and $c_{ij} \in \mathbb{C}^\times$. Let $\Gamma^0 := \{\alpha_{ij}\}$ be the set of corresponding eigenvalues, and $\Gamma_X \subset \mathbb{C}^\times$ the multiplicative group generated by α_{ij} . It is torsion-free provided the cardinality of k_1 is sufficiently divisible.

For example, let A be an abelian variety over k_1 , $\{\alpha_j\}_{j=1,\dots,2g}$ the set of eigenvalues of the Frobenius on $H_{et}^1(\bar{A}, \mathbb{Q}_\ell)$, for $\ell \neq p$, and $\Gamma_A \subset \mathbb{C}^\times$ the multiplicative subgroup spanned by the α_j . Then

$$(9.2) \quad R(n) := \#A(k_n) = \prod_{j=1}^{2g} (\alpha_j^n - 1).$$

is a simple linear recurrence with roots in Γ_A . Theorem 35 follows by applying Theorem 36 to this recurrence and exploiting the special shape of the Laurent polynomial associated to (9.2).

We now sketch a proof of Theorem 33, assuming for simplicity that C be a nonhyperelliptic curve of genus $g(C) \geq 3$.

Step 1. For all finite fields k_1 with sufficiently many elements ($\geq cg^2$) the group $J(k_1)$ is generated by $C(k_1)$, by [8, Corollary 5.3]. Let

$$k_1 \subset k_2 \subset \dots \subset k_n \subset \dots$$

be the tower of degree 2 extensions. To *characterize* $J(k_n)$ it suffices to characterize $C(k_n)$.

Step 2. For each $n \in \mathbb{N}$, the abelian group $J(k_n)$ is generated by $c \in C(k)$ such that there exists a point $c' \in C(k)$ with

$$c + c' \in J(k_{n-1}).$$

Step 3. Choose k_1, \tilde{k}_1 (sufficiently large) such that

$$\Psi(J(k_1)) \subset \tilde{J}(\tilde{k}_1)$$

Define $C(k_n)$, resp. $\tilde{C}(\tilde{k}_n)$, intrinsically, using only the group- and set-theoretic information as above. Then

$$\Psi(J(k_n)) \subset \tilde{J}(\tilde{k}_n), \quad \text{for all } n \in \mathbb{N}.$$

and

$$\#J(k_n) \mid \#\tilde{J}(\tilde{k}_n).$$

To conclude the proof of Theorem 33 it suffices to apply Theorem 36 and Theorem 35 about divisibility of recurrence sequences.

One of the strongest and somewhat counter-intuitive results in this area is a theorem of Tamagawa:

Theorem 37. [58] *There are at most finitely many (isomorphism classes of) curves of genus g over $k = \bar{\mathbb{F}}_p$ with given (profinite) geometric fundamental group.*

On the other hand, in 2002 we proved:

Theorem 38. [14] *Let C be a hyperelliptic curve of genus ≥ 2 over $k = \bar{\mathbb{F}}_p$, with $p \geq 5$. Then for every curve C' over k there exists an étale cover $\pi: \tilde{C} \rightarrow C$ and surjective map $\tilde{C} \rightarrow C'$.*

This shows that the geometric fundamental groups of hyperbolic curves are “almost” independent of the curve: every such $\pi_1(C)$ has a subgroup of small index and such that the quotient by this subgroup is almost abelian, surjecting onto the fundamental group of another curve C' .

This relates to the problem of cuniformization for hyperbolic curves (see [14]). The Riemann theorem says that the unit disc in the complex plane serves as a universal covering for all complex projective curves of genus ≥ 2 , simultaneously. This provides a canonical embedding of the fundamental group of a curve into the group of complex automorphisms of the disc, which is isomorphic to $\mathrm{PGL}_2(\mathbb{R})$. In particular, it defines a natural embedding of the field of rational functions on the curve into the field of meromorphic functions on the disc. The latter is unfortunately too large to be of any help in solving concrete problems.

However, in some cases there is an algebraic substitute. For example, in the class of modular curves there is a natural pro-algebraic object Mod (introduced by Shafarevich) which is given by a tower of modular curves; the corresponding pro-algebraic field, which is an inductive union M of the fields of rational functions on modular curves. Similarly to the case

of a disc the space Mod has a wealth of symmetries which contains a product $\prod_p \mathrm{SL}_2(\mathbb{Z}_p)$ and the absolute Galois group $G(\bar{\mathbb{Q}}/\mathbb{Q})$.

The above result alludes to the existence of a similar disc-type algebraic object for all hyperbolic curves defined over $\bar{\mathbb{F}}_p$ (or even for arithmetic hyperbolic curves).

For example consider C_6 given by $y^6 = x(x-1)$ over \mathbb{F}_p , with $p \neq 2, 3$, and define \tilde{C}_6 as a pro-algebraic universal covering of C_6 . Thus $\bar{\mathbb{F}}_p(\tilde{C}_6) = \bigcup \bar{\mathbb{F}}_p(C_i)$, where C_i range over all finite geometrically nonramified coverings of C_6 . Then $\bar{\mathbb{F}}_p(\tilde{C}_6)$ contains all other fields $\bar{\mathbb{F}}_p(C)$, where C is an arbitrary curve defined over some $\mathbb{F}_q \subset \bar{\mathbb{F}}_p$. Note that it also implies that étale fundamental group $\pi_1(C_6)$ contains a subgroup of finite index which surjects onto $\pi_1(C)$ with the action of $\hat{\mathbb{Z}} = G(\bar{\mathbb{F}}_p/\mathbb{F}_q)$.

The corresponding results in the case of curves over number fields $K \subset \bar{\mathbb{Q}}$ are weaker, but even in the weak form they are quite intriguing.

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